
Special Report: Boards and Commissions

*Prepared for the Committee on Legislative Research
by the Oversight Division*

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February, 1997

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COMMITTEE ON LEGISLATIVE RESEARCH

OVERSIGHT SUBCOMMITTEE

THE COMMITTEE ON LEGISLATIVE RESEARCH, Oversight Division, is the audit agency of the Missouri General Assembly as established in Chapter 23 of the Revised Statutes of Missouri. The programs and activities of the State of Missouri cost approximately \$13 billion annually. Each year the General Assembly enacts laws which add to, delete or change these programs. To meet the demands for more responsive and cost effective state government, legislators need to receive information regarding the status of the programs which they have created and the expenditure of funds which they have authorized. The audit work of the Oversight Division provides the General Assembly with a means to evaluate state agencies and state programs.

THE OVERSIGHT DIVISION conducts its audits in accordance with government auditing standards set forth by the U.S. General Accounting Office. These standards pertain to auditors' professional qualifications, the quality of audit effort and the characteristics of professional and useful audit reports.

THE COMMITTEE ON LEGISLATIVE RESEARCH is a permanent joint committee of the Missouri General Assembly comprised of the chairman of the Senate Appropriations Committee and nine other members of the Senate and the chairman of the House Budget Committee and nine other members of the House of Representatives. The Senate members are appointed by the President Pro Tem of the Senate and the House members are appointed by the Speaker of the House of Representatives. No more than six members from the House and six members from the Senate may be of the same political party.

AUDITS ARE ASSIGNED to the Oversight Division pursuant to a duly adopted concurrent resolution of the General Assembly or pursuant to a resolution adopted by the Committee on Legislative Research. Legislators or committees may make their requests for program or management audits through the Chairman of the Committee on Legislative Research or any other member of the Committee.

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February, 1997

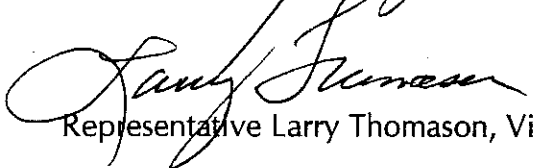
Members of the General Assembly:

As authorized by Chapter 23, RSMo, the Committee on Legislative Research voted in May, 1996 to direct the Oversight Division to perform a study of professional licensing fees and expenses for all licensing boards falling under the administrative support of the Department of Economic Development, Division of Professional Registration.

The accompanying report is a compilation of information from the various licensing boards. It is not an audit and does not contain findings or recommendations. We hope this advisory report is helpful and can be used in a constructive manner for the betterment of the state programs to which it relates.

Sincerely,


Senator Harry Wiggins, Chairman


Representative Larry Thomason, Vice Chairman

EXECUTIVE SUMMARY

The Division of Professional Registration within the Department of Economic Development is responsible for licensing some 250,000 professionals in the State of Missouri. The Division also provides staff services to all thirty licensing boards and exercises authority over accounting and budgetary functions, as well as financial management and clerical duties relating to the issuance and renewal of licenses and certificates.

In May, 1996, the Committee on Legislative Research directed the Oversight Division to compile information on the various licensing boards related to their financial status and regulatory activities. The source information for this report was obtained from the Division of Professional Registration. Comparative graphs and charts were prepared by Oversight for informational purposes. The following data for each board is also contained in the report:

- A brief summary of the board and its functions
- A statement of revenues, expenditures and fund balance (unaudited)
- A chart detailing licenses issued, rejected applications & revocations
- A chart detailing complaints received and disposition

In determining the appropriate fee levels to charge to licensees, it is important to examine the annual expenses for each board and the accumulated fund balance. We believe this report may be useful in this regard. Please note that all statements presented are unaudited. We did not examine departmental financial statements and do not express an opinion on them.

Jeanne A. Jarrett, CPA, CGFM
Director, Oversight Division

Introduction

Division of Professional Registration

The Division of Professional Registration within the Department of Economic Development was created by the Omnibus Reorganization Act of 1974. The Division of Professional Registration is responsible for protecting the public by licensing qualified professionals; enforcing standards; and maintaining a communication network with the 250,000 licensees to encourage the development of professional services throughout the state. The director of the Division of Professional Registration has responsibility for providing staff services to all licensing boards and exercising authority over accounting and budgetary functions, as well as financial management and clerical duties relating to the issuance and renewal of licenses and certificates. The licensing boards are responsible for administering examinations and issuing licenses for their respective professions. The licensing boards within the Division of Professional Registration include:

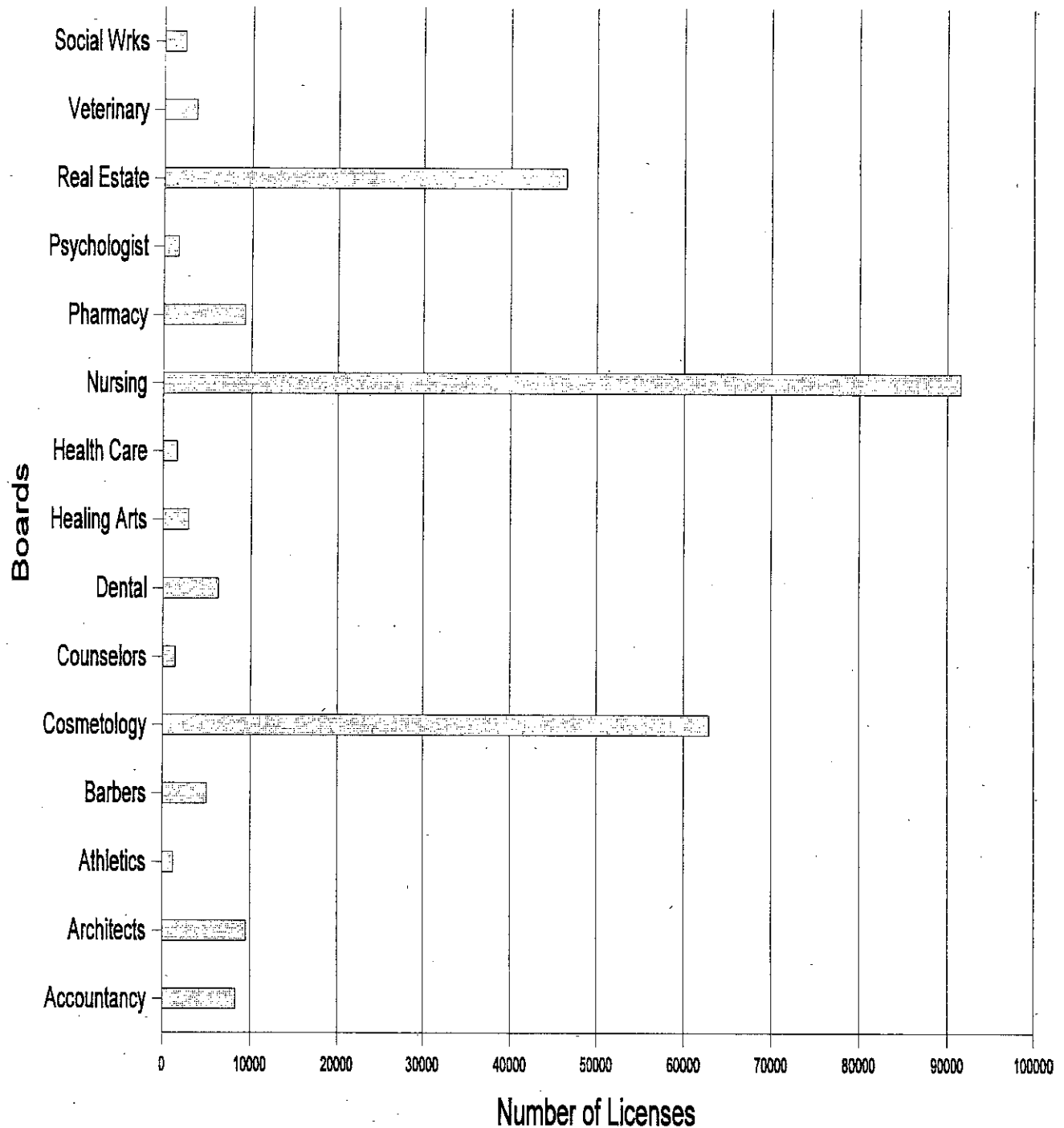
Missouri State Board of Accountancy, Chapter 326, RSMo
Missouri Board for Architects, Professional Engineers
and Land Surveyors, Chapter 327, RSMo
Office of Athletics, Chapter 337, RSMo
State Board of Barber Examiners, Chapter 328, RSMo
State Board of Chiropractic Examiners, Chapter 331, RSMo
State Board of Cosmetology, Chapter 329, RSMo
Committee for Professional Counselors, Chapter 337, RSMo
Missouri Dental Board, Chapter 332, RSMo
State Board of Embalmers and Funeral Directors, Chapter 333, RSMo
Office of Employment Agencies, Chapter 289, RSMo
Missouri Board of Examiners for Hearing Instrument Specialists, Chapter 346, RSMo
State Board of Registration for the Healing Arts, Chapter 334, RSMo
Missouri Athletic Trainers Advisory Committee, Chapter 334, RSMo
Advisory Commission for Physical Therapists, Chapter 334, RSMo
Advisory Commission for Speech Pathologists
and Clinical Audiologists, Chapter 345, RSMo
Office of Missouri Health Care Providers, Chapter 334, RSMo
State Board of Nursing, Chapter 335, RSMo
State Board of Optometry, Chapter 336, RSMo
State Board of Pharmacy, Chapter 338, RSMo
State Board of Podiatric Medicine, Chapter 330, RSMo

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State Committee of Psychologists, Chapter 337, RSMo
Missouri Real Estate Commission, Chapter 339, RSMo
Missouri Real Estate Appraisers Commission, Chapter 339, RSMo
Missouri Veterinary Medical Board, Chapter 340, RSMo
Advisory Committee for Licensed Clinical Social Workers, Chapter 337, RSMo
Landscape Architectural Council, Chapter 327, RSMo
Board of Geologist Registration, Chapter 256, RSMo
Endowed Care Cemeteries, Chapter 214, RSMo
Board for Certification of Interpreters, Chapter 209, RSMo
State Committee of Martial and Family Therapists, Chapter 337, RSMo

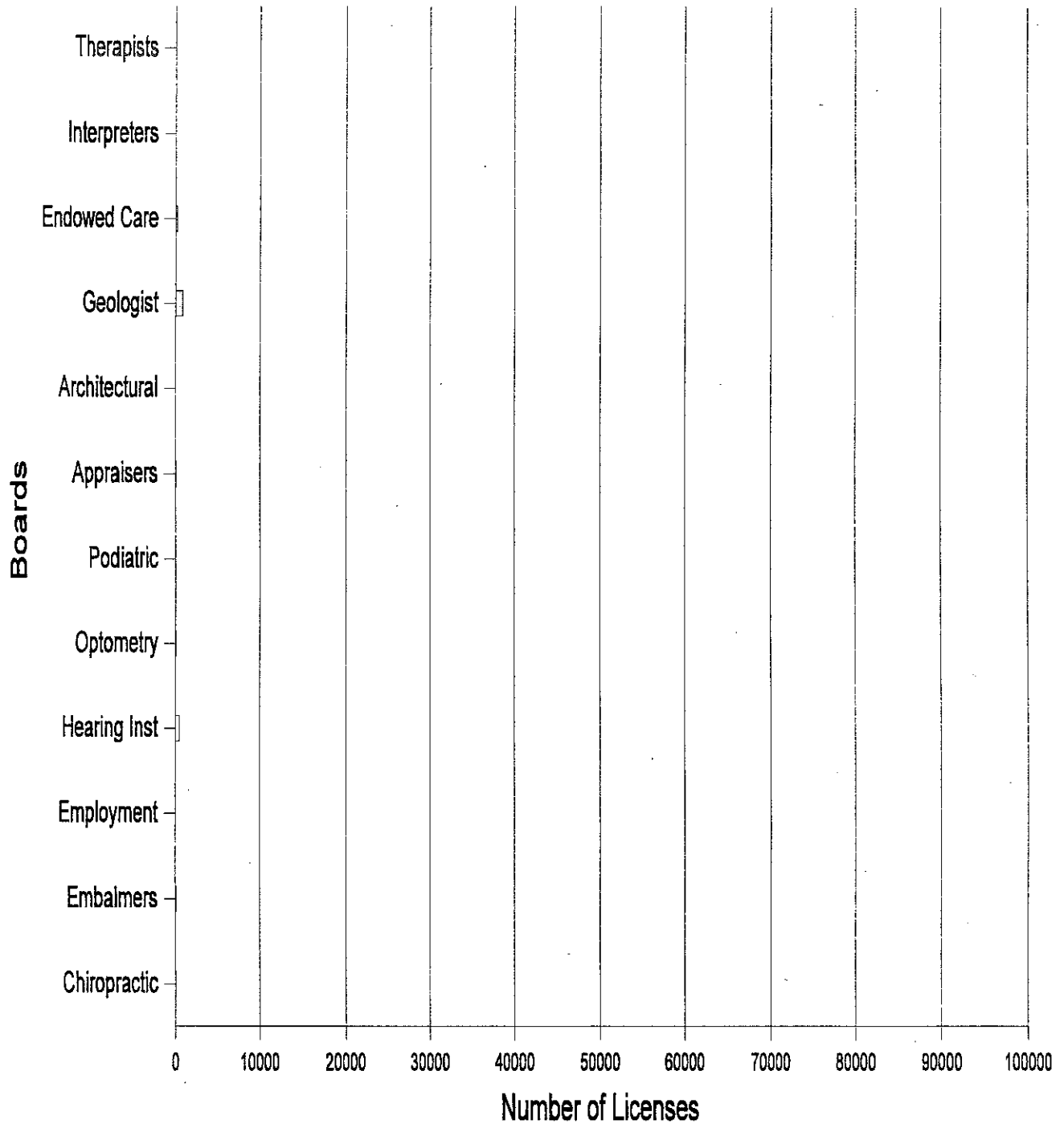
Licenses Issued

Fiscal Year 1996



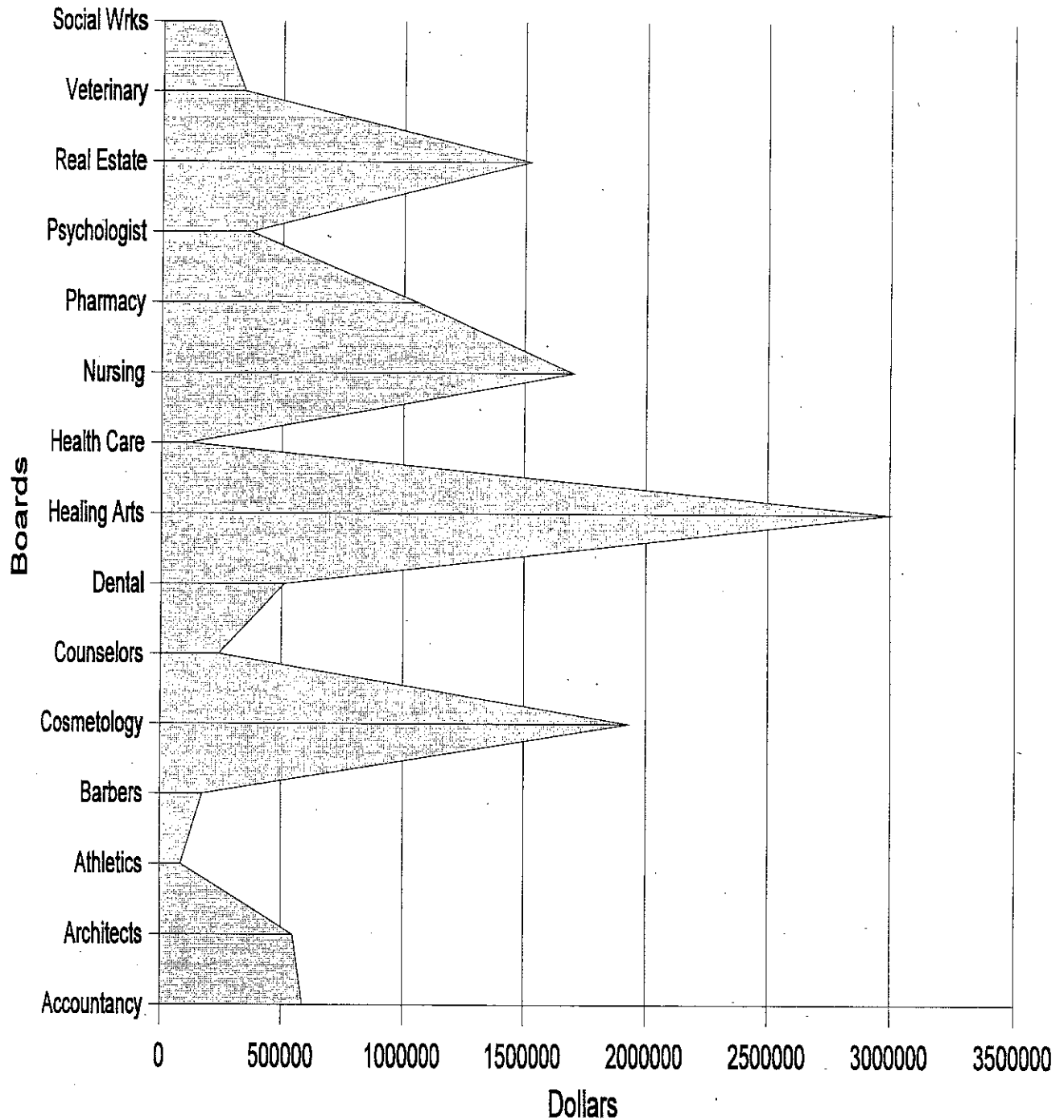
Licenses Issued

Fiscal Year 1996



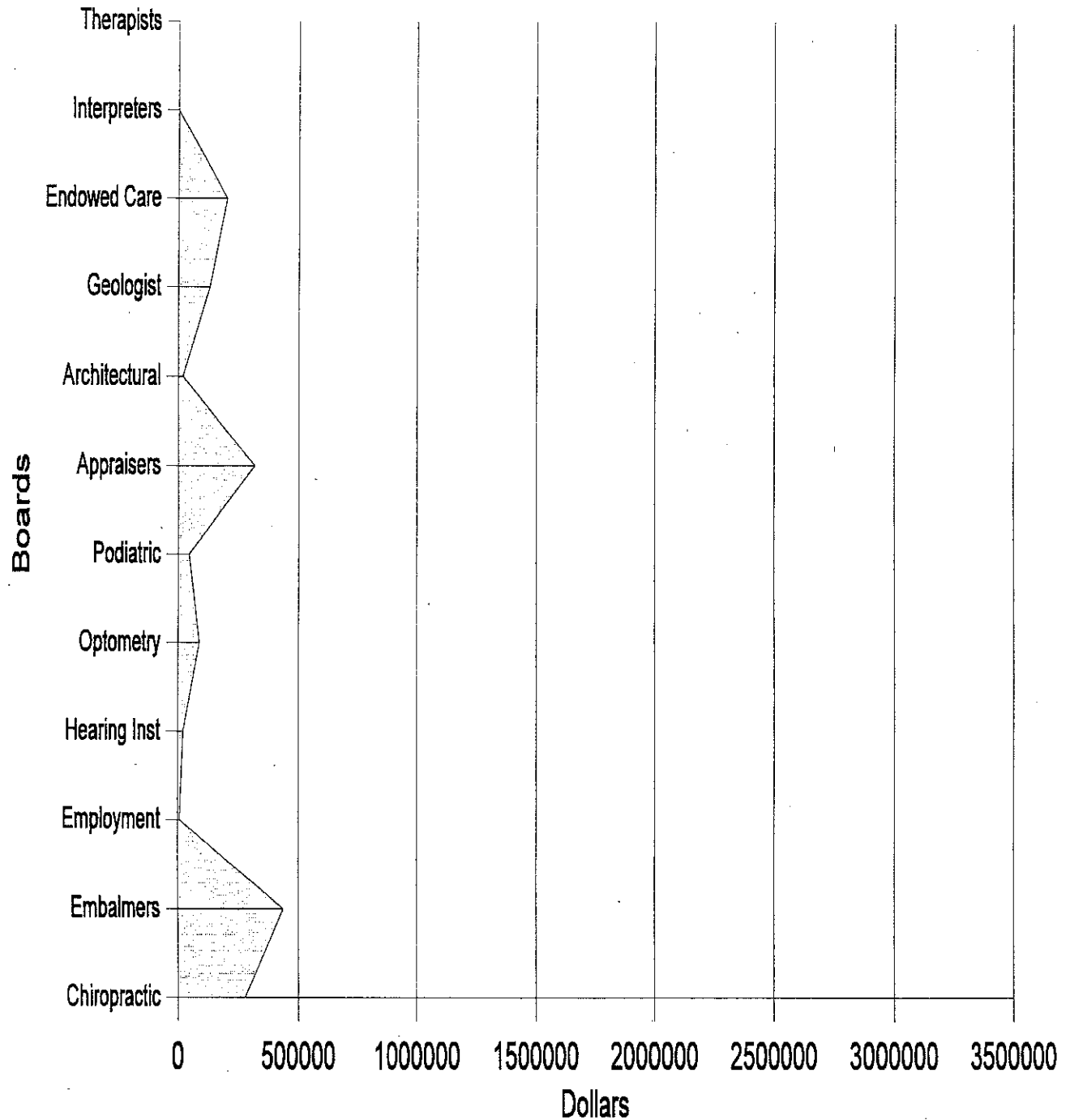
Total Revenue

Fiscal Year 1996



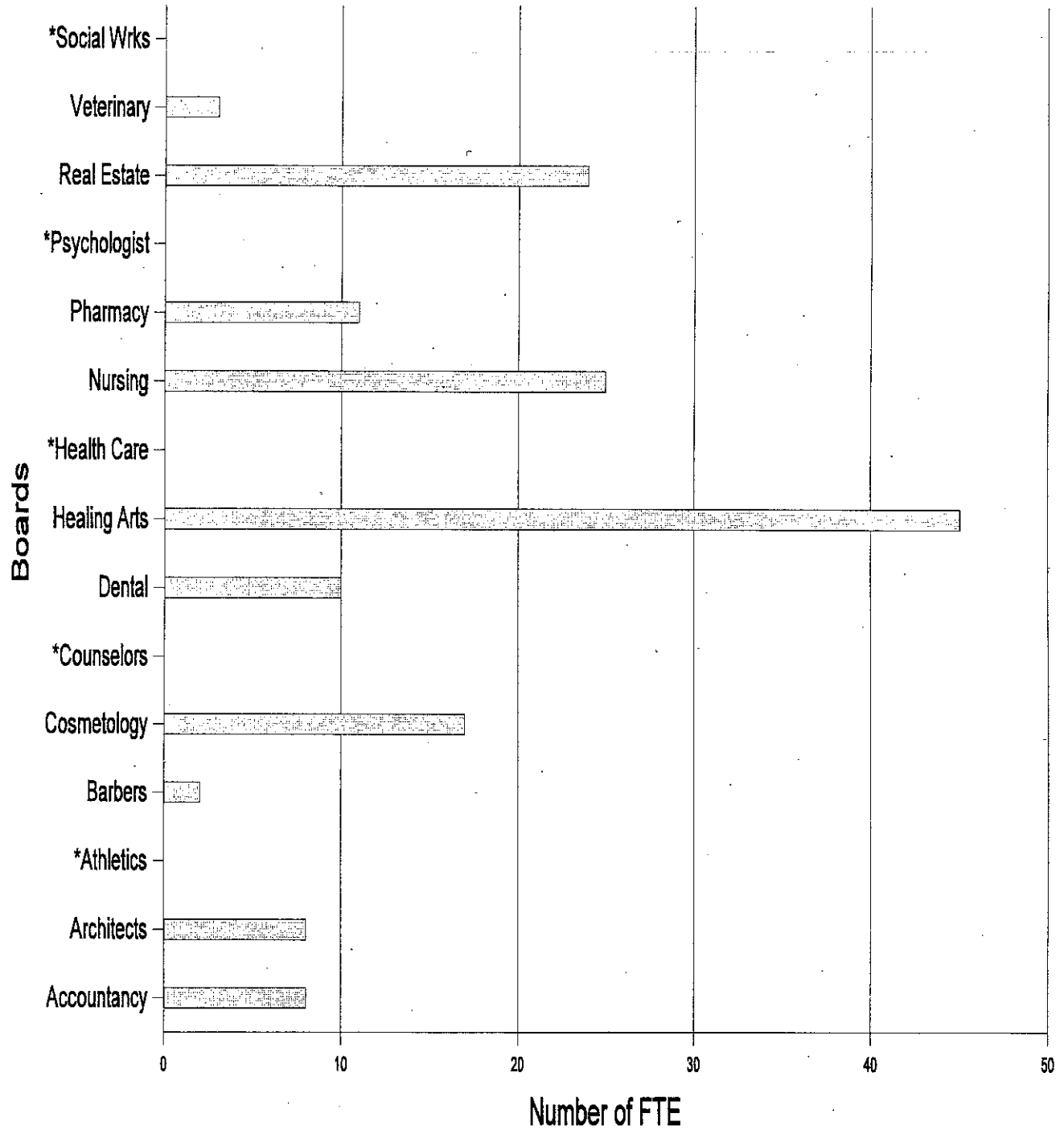
Total Revenue

Fiscal Year 1996



FTE

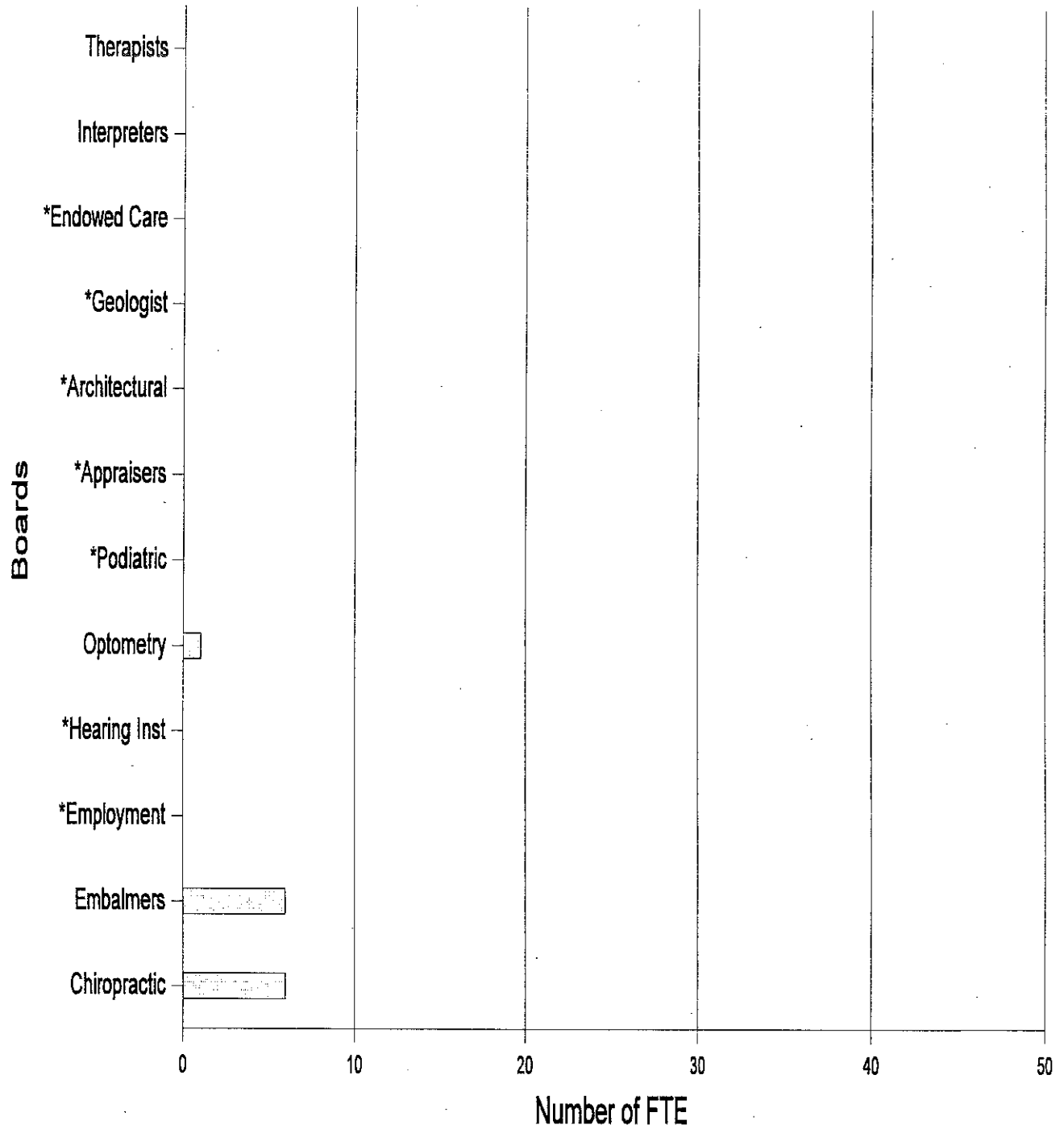
Fiscal Year 1996



* FTE are provided by Professional Registration Administration.

FTE

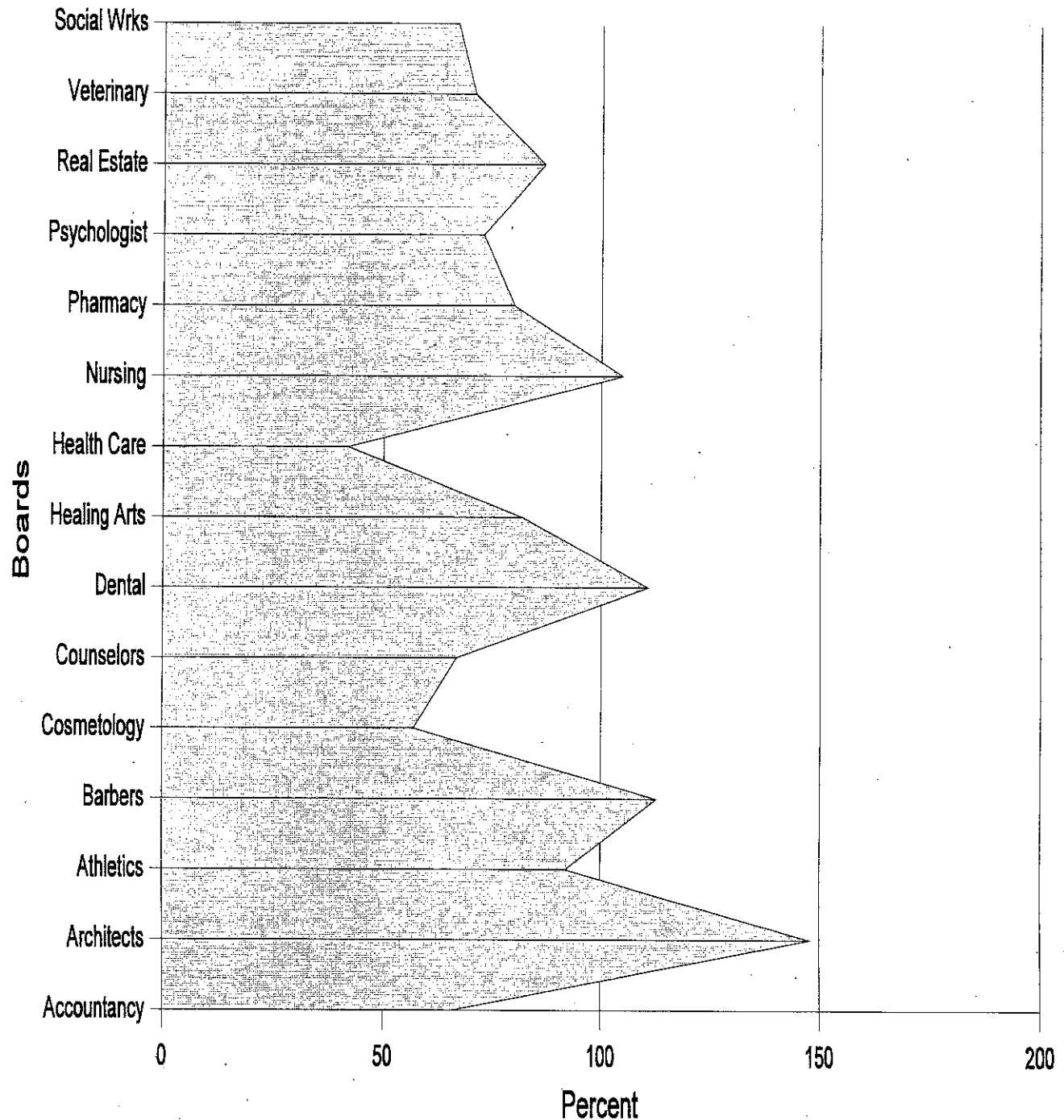
Fiscal Year 1996



* FTE are provided by Professional Registration Administration.

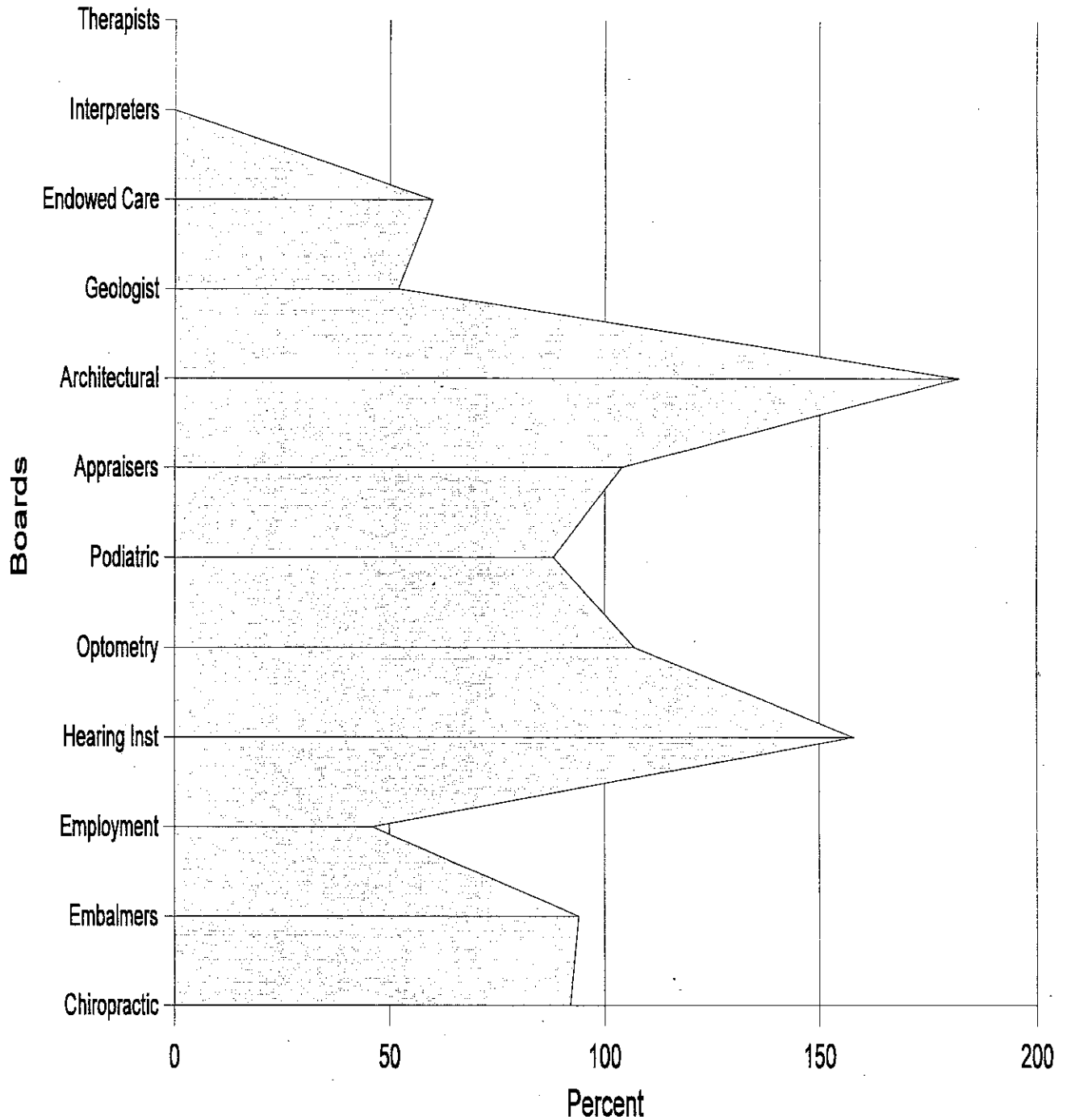
Percent of Expenses to Revenue

Fiscal Year 1996



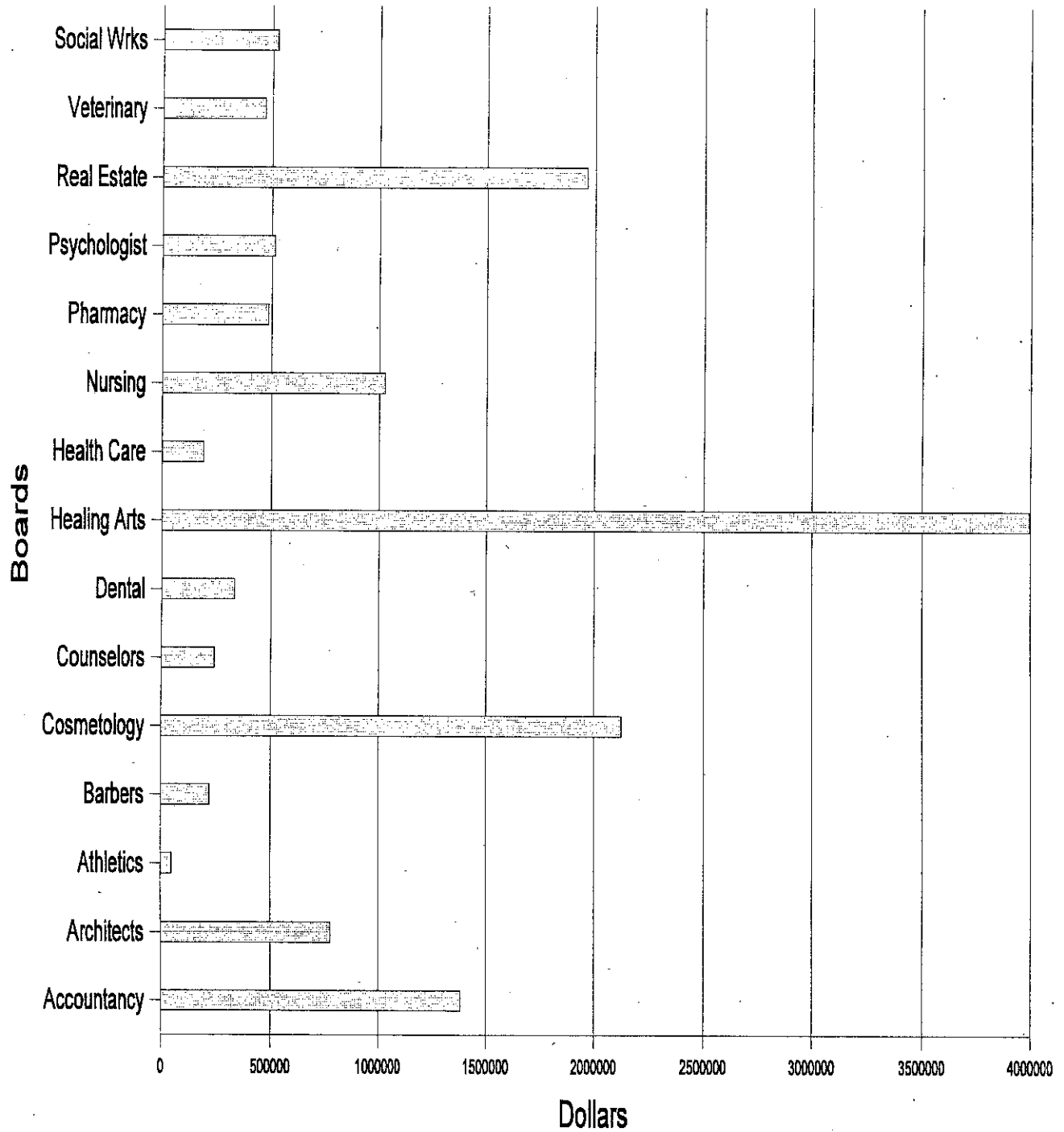
Percent of Expenses to Revenue

Fiscal Year 1996



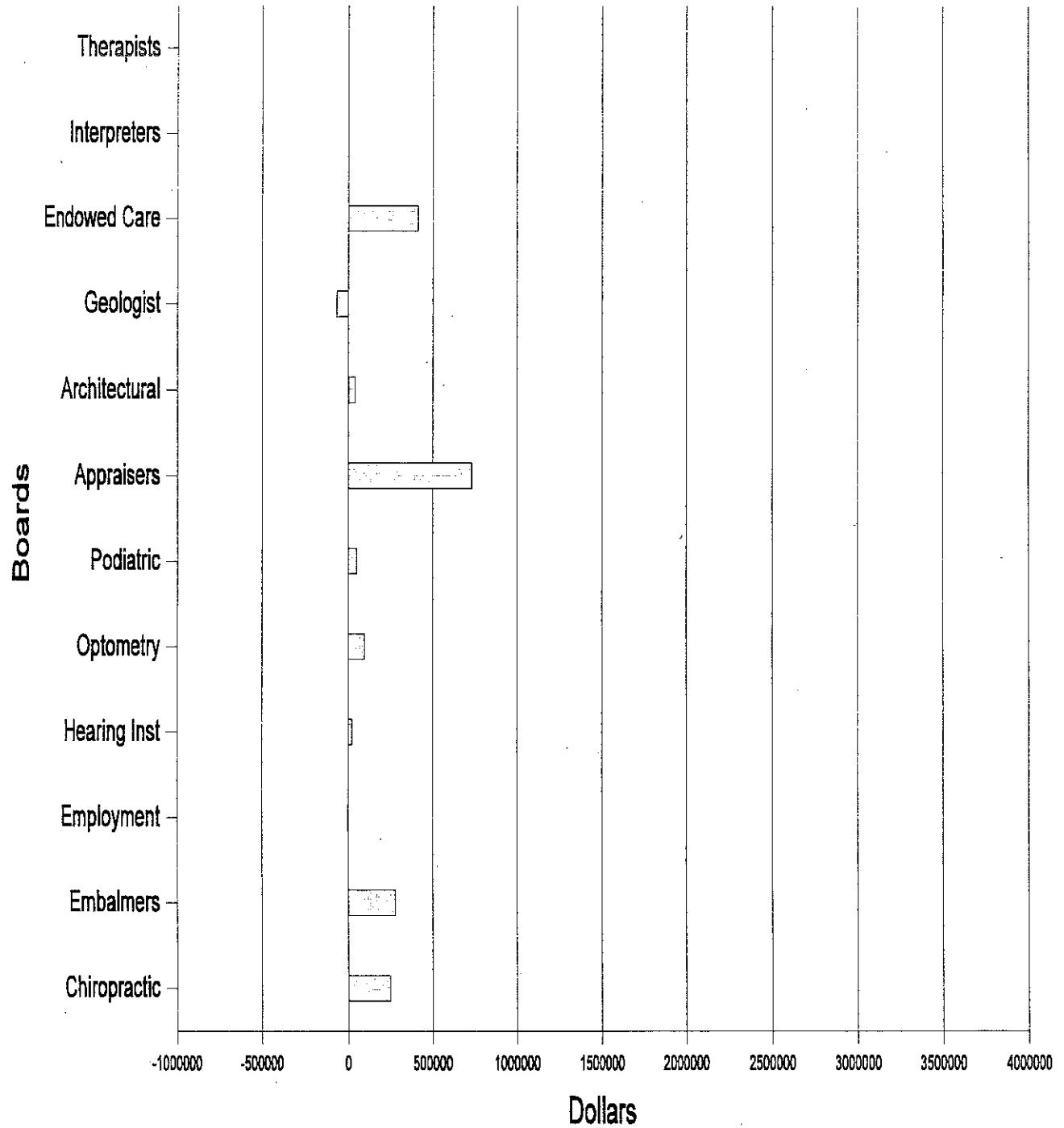
Fund Balances

Fiscal Year 1996



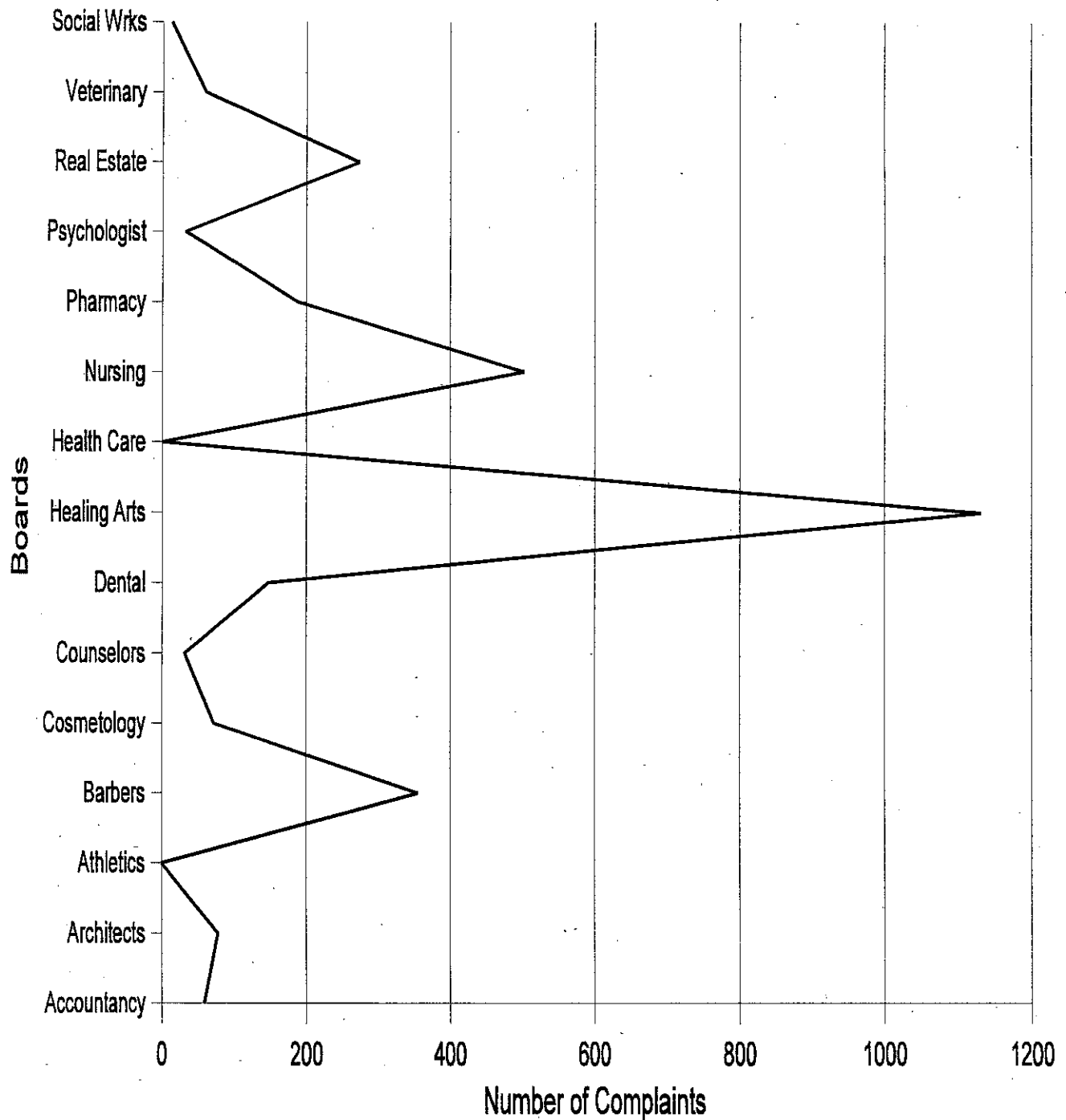
Fund Balances

Fiscal Year 1996



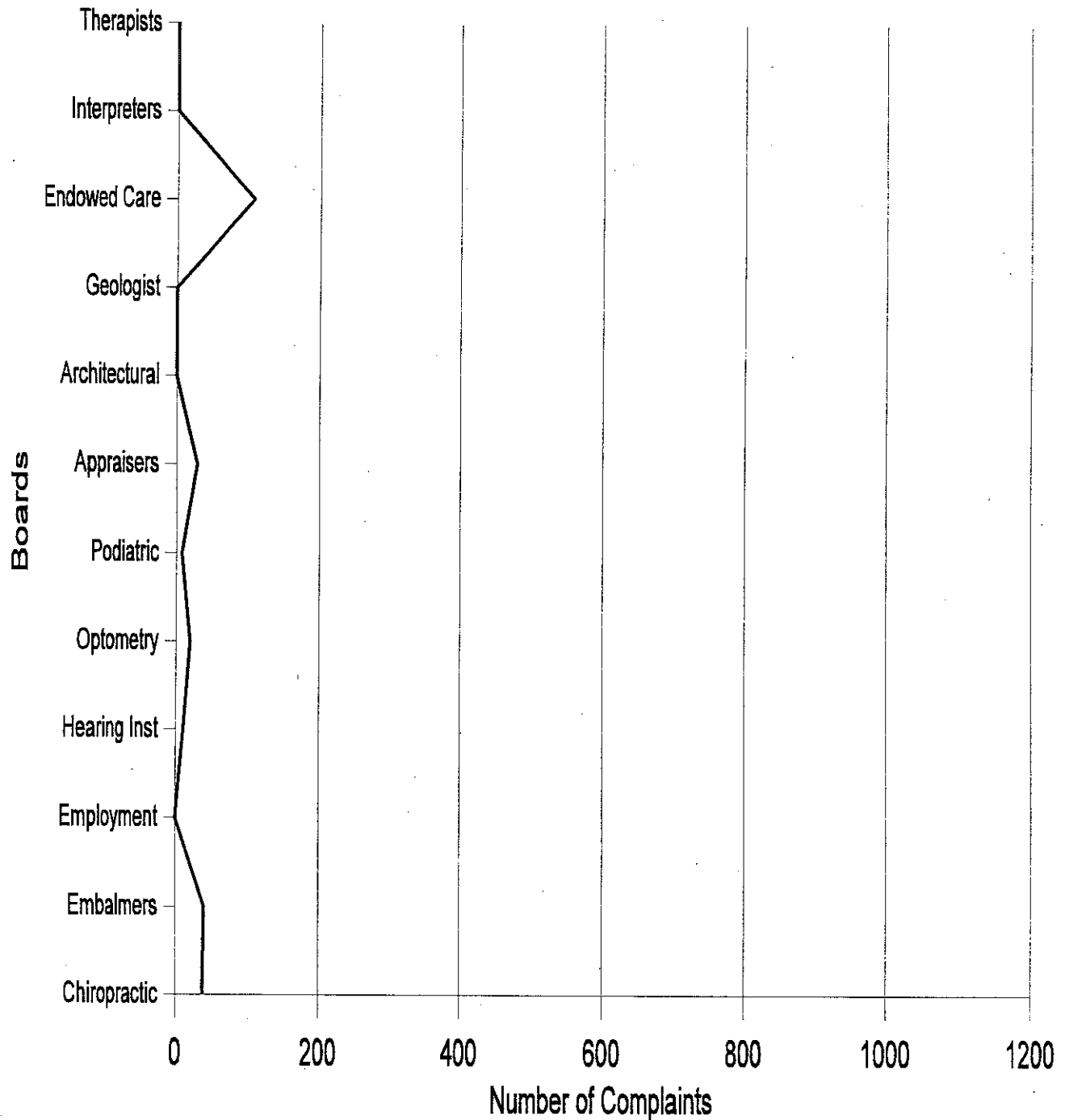
Number of Complaints Received

Fiscal Year 1996



Number of Complaints Received

Fiscal Year 1996



Boards

Missouri State Board of Accountancy

The Missouri State Board of Accountancy, created in 1909, consists of six certified public accountants and one public member appointed for a term of five years by the governor with the advice and consent of the Senate. The Board is a member of the National Association of State Boards of Accountancy, and Council on Licensure Enforcement and Regulation. Additionally, the Board is entirely self-sustaining by means of fees derived from the issuance of new certificates, permits and annual renewals.

The Board, in accordance with Missouri's accountancy law, is charged with protecting the interests of Missouri citizens through the examination, licensure, and regulation of individuals, partnerships and professional corporations engaged in the practice of public accounting pursuant to Chapter 326, RSMo; assuring that financial and economic data is independent, objective and uniform based upon generally accepted accounting principles and auditing standards; and ensuring that services are provided by competent and ethical practitioners.

4 CSR 10-2.160 establishes and fixes the fees and charges authorized by Chapter 326, RSMo.

Examples include:

- ▶ Initial application and re-examination fee - \$200, initial and re-examination fee per part of exam - \$50, application for certificate without exam fee - \$200, certificate fee - \$25.
- ▶ Corporation and partnership licensure fee - \$90, renewal fee - \$72.

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| Board of Accountancy | FY 94 | FY 95 | FY 96 |
|---|--------------------|--------------------|--------------------|
| Beginning Fund Balance | \$770,131 | \$947,645 | \$1,182,512 |
| <u>Income - Fees - Total Revenues</u> | \$664,177 | \$768,915 | \$589,963 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$16,348) | (\$17,385) | (\$15,333) |
| Office Expense; Office & Communication Equipment | (\$28,866) | (\$20,782) | (\$15,254) |
| Communication Expense; Data Processing E & E | (\$10,011) | (\$45,180) | (\$18,159) |
| Institutional & Physical Plant E & E | (\$670) | (\$536) | (\$407) |
| Professional Services | (\$49,166) | (\$91,419) | (\$30,422) |
| Other Expenses & Adjustments | (\$59,468) | (\$47,837) | \$20,129 |
| Personal Service & Per Diem (8 FTE) | (\$171,173) | (\$174,528) | (\$187,351) |
| Transfers to Other Agencies | <u>(\$150,961)</u> | <u>(\$136,381)</u> | <u>(\$141,849)</u> |
| <u>Total Expenditures</u> | (\$486,663) | (\$534,048) | (\$388,646) |
| Excess Revenues over Expenditures | \$177,514 | \$234,867 | \$201,317 |
| <u>Fund Balance</u> | \$947,645 | \$1,182,512 | \$1,383,829 |

UNAUDITED

| BOARD OF ACCOUNTANCY | | | |
|---|-------|-------|-------|
| <u>Licenses Issued, Rejected Applications & Revocations</u> | | | |
| <u>Type of License</u> | FY94 | FY95 | FY96 |
| <u>Registrations Issued</u> | | | |
| Certificates | 760 | 803 | 740 |
| Individual | 6,906 | 6,739 | 6,894 |
| Firm Permits | 742 | 631 | 701 |
| <u>Applications Rejected</u> | | | |
| Certificates | 8 | 14 | 21 |
| Individual | 0 | 0 | 0 |
| Firm Permits | 0 | 0 | 0 |
| <u>Revocations</u> | | | |
| Certificates | 5 | 1 | 1 |
| Individual | 1 | 0 | 0 |
| Firm Permits | 0 | 0 | 0 |

| BOARD OF ACCOUNTANCY | | | |
|--|------|------|------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| Beginning Balance | 154 | 121 | 99 |
| Complaints Received | 75 | 61 | 59 |
| Closed, no action | 102 | 81 | 74 |
| Revocation | 2 | 1 | 2 |
| License voluntarily surrendered | 3 | 1 | 0 |
| Letter of Censure | 1 | 0 | 0 |
| Ending Balance | 121 | 99 | 82 |

Missouri Board for Architects, Professional Engineers and Land Surveyors

The Missouri Board for Architects, Professional Engineers and Land Surveyors consists of eleven members, including one voting public member, nominated by the director of the Department of Economic Development and appointed by the governor with the advice and consent of the Senate. All members, except the public member, must be licensed under Missouri law, a Missouri resident for at least five years, and actively engaged in the practice of their profession for 10 consecutive years prior to appointment. The Board is a member of the National Council of Architectural Registration Boards and the National Council of Examiners for Engineering and Surveying. The Board is funded through licensure and renewal fees.

The Board is charged with protecting the life, health and safety of Missouri citizens against the danger of structural collapse of public buildings, and safeguarding residents and their property from damages resulting from illegal and incompetent architectural, engineering and land surveying practices. Individuals are prohibited from practicing architecture, engineering or land surveying without obtaining a license from the Board. Corporations are also prohibited from rendering or offering architectural, engineering or land surveying services without the Board's authorization.

4 CSR 30-6.015 establishes and fixes fees and charges authorized by Chapter 327, RSMo.

Examples include:

- ▶ Application filing fee for comity - \$100, application filing fee for examination - \$50 to \$100, original architectural registration exam fee - \$200.
- ▶ Corporate application fee, biennial renewal fee, reinstatement fee, and reauthorization fee - \$100 to \$200 each.

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| Board for Architects, Professional Engineers, & Land Surveyors | FY 94 | FY 95 | FY 96 |
|---|--------------------|--------------------|--------------------|
| Beginning Fund Balance | \$730,417 | \$714,045 | \$1,046,372 |
| <u>Income - Fees - Total Revenues</u> | \$768,843 | \$1,169,388 | \$550,426 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$36,708) | (\$35,495) | (\$31,702) |
| Office Expense; Office & Communication Equipment | (\$54,047) | (\$44,086) | (\$35,331) |
| Communication Expense; Data Processing E & E | (\$52,046) | (\$32,449) | (\$31,472) |
| Institutional & Physical Plant E & E | (\$136,113) | (\$124,032) | (\$102,061) |
| Professional Services | (\$61,278) | (\$150,009) | (\$101,248) |
| Other Expenses & Adjustments | (\$71,818) | (\$70,081) | \$26,111 |
| Personal Service & Per Diem (7-9-8 FTE) | (\$199,295) | (\$202,247) | (\$207,292) |
| Transfers to Other Agencies | <u>(\$173,910)</u> | <u>(\$178,662)</u> | <u>(\$334,729)</u> |
| <u>Total Expenditures</u> | (\$785,215) | (\$837,061) | (\$817,724) |
| Excess Revenues over Expenditures | (\$16,372) | \$332,327 | (\$267,298) |
| <u>Fund Balance</u> | \$714,045 | \$1,046,372 | \$779,074 |

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Boards and Commissions

| BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, & LAND SURVEYORS | | | |
|---|-------------|-------------|-------------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Licenses Issued | 19,616 | 18,971 | 9,513 |
| Applications Rejected | 938 | 1,115 | 1,954 |
| Revocations | 0 | 0 | 1 |

| BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS, & LAND SURVEYORS | | | |
|---|-------------|-------------|-------------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| Closed Cases | 31 | 70 | 30 |
| Open/Pending | 128 | 91 | 47 |
| Probation | 2 | 5 | 0 |
| Revocation | 0 | 0 | 1 |

Office of Athletics

The Office of Athletics has general control and supervision of all boxing, sparring, wrestling, kick boxing and full contact karate exhibitions held in the state. The Office is responsible for promulgating rules and regulations governing the conduct of these sports. Assistance is provided by inspectors who attend each event.

The Office investigates and processes all applications for licenses. Upon satisfactory proof of qualifications, the Office grants licenses to sponsors, promoters, matchmakers, referees, doctors, timekeepers, managers, seconds, announcers, professional boxers and wrestlers. Boxing contestants must be examined by a doctor before each exhibition or event. Amateur contestants may participate without licenses.

Permits are granted for each event for a charge, allowing the exhibition or contest to be held under the Office's rules and regulations and supervision. Sponsors are protected from encroachment of dates.

4 CSR 40-2.011 and 4 CSR 40-2.010 describe the requirements for obtaining licenses as authorized by Chapter 337, RSMo.

Examples include:

- ▶ Professional promotor license fee - \$200, amateur promoter license fee - \$20, professional referee - \$25, amateur referee - \$10, matchmaker - \$100, manager - \$50.
- ▶ Exhibition permits - \$5 to \$25.

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| Office of Athletics | FY 94 | FY 95 | FY 96 |
|---|-------------------|------------------|-------------------|
| Beginning Fund Balance | \$40,129 | \$16,230 | \$43,315 |
| <u>Income - Fees - Total Revenues</u> | \$45,779 | \$54,641 | \$86,709 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$9,968) | (\$9,387) | (\$14,188) |
| Office Expense; Office & Communication Equipment | (\$1,104) | (\$1,266) | (\$4,064) |
| Communication Expense; Data Processing E & E | (\$2,967) | (\$2,123) | (\$1,037) |
| Institutional & Physical Plant E & E | (\$85) | (\$605) | (\$1,699) |
| Professional Services | (\$406) | (\$754) | (\$3,287) |
| Other Expenses & Adjustments | (\$3,168) | \$5,281 | \$30,852 |
| Personal Service & Per Diem (* FTE) | (\$38,676) | (\$12,054) | (\$61,096) |
| Transfers to Other Agencies | <u>(\$13,304)</u> | <u>(\$6,648)</u> | <u>(\$25,424)</u> |
| <u>Total Expenditures</u> | (\$69,678) | (\$27,556) | (\$79,943) |
| Excess Revenues over Expenditures | (\$23,899) | \$27,085 | \$6,766 |
| <u>Fund Balance</u> | \$16,230 | \$43,315 | \$50,081 |

*FTE are provided by Professional Registration Administration

UNAUDITED

| OFFICE OF ATHLETICS | | | |
|--|-------|-------|-------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Licenses Issued | 1,025 | 1,259 | 1,276 |

| OFFICE OF ATHLETICS | | | |
|--|------|------|------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| There have been no formal complaints or disciplinary action, because the office does not have the authority to discipline. | | | |

State Board of Barber Examiners

The State Board of Barber Examiners is composed of three barbers, registered and practicing for five years immediately preceding their initial appointments, and one public member. The members are nominated by the director of the Department of Economic Development and appointed by the governor with the advice and consent of the Senate. The board is a member of the National Association of Boards of Barber Examiners of America and participates in its national testing program.

The Board meets regularly six times each year, in conjunction with the licensure examination, and examines all duly qualified candidates. With the approval of the Department of Health, the Board promulgates rules and regulations deemed necessary for the prevention of infectious and contagious diseases in barber shops and barber schools. Deputies are appointed to inspect barber shops to ensure compliance with sanitary regulations.

4 CSR 60-4.010 establishes and fixes fees and charges authorized by Chapter 328, RSMo.

Examples include:

- ▶ Barber exam fee - \$50, reciprocity fee - \$50, certificate of registration fee - \$20, renewal fee - \$30.
- ▶ Instructor exam and renewal fees - \$30 to \$50 each.
- ▶ Barber shop registration - \$50, renewal fees - \$20.

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| Board of Barber Examiners | FY 94 | FY 95 | FY 96 |
|---|-------------------|-------------------|-------------------|
| Beginning Fund Balance | \$245,172 | \$246,414 | \$246,331 |
| <u>Income - Fees - Total Revenues</u> | \$170,690 | \$169,860 | \$174,133 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$16,182) | (\$19,611) | (\$18,267) |
| Office Expense; Office & Communication Equipment | (\$3,327) | (\$2,785) | (\$5,179) |
| Communication Expense; Data Processing E & E | (\$4,590) | (\$4,190) | (\$5,204) |
| Institutional & Physical Plant E & E | (\$603) | (\$766) | (\$459) |
| Professional Services | (\$5,277) | (\$3,722) | (\$3,767) |
| Other Expenses & Adjustments | (\$13,123) | (\$8,714) | (\$1,839) |
| Personal Service & Per Diem (2 FTE) | (\$80,258) | (\$80,682) | (\$82,764) |
| Transfers to Other Agencies | <u>(\$46,088)</u> | <u>(\$49,473)</u> | <u>(\$79,774)</u> |
| <u>Total Expenditures</u> | (\$169,448) | (\$169,943) | (\$197,253) |
| Excess Revenues over Expenditures | \$1,242 | (\$83) | (\$23,120) |
| <u>Fund Balance</u> | \$246,414 | \$246,331 | \$223,211 |

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| BOARD OF BARBER EXAMINERS | | | |
|--|-------|-------|-------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Licenses Issued | 5,297 | 5,467 | 5,098 |
| Rejected Applications | 0 | 0 | 0 |
| Revocations | 0 | 0 | 0 |

| BOARD OF BARBER EXAMINERS | | | |
|--|------|------|------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| Complaints New/Pending | 67 | 106 | 197 |
| Closed | 53 | 62 | 158 |

State Board of Chiropractic Examiners

The State Board of Chiropractic Examiners, created in 1927, consists of five licensed chiropractors and one public member nominated by the director of the Department of Economic Development and appointed by the governor with the advice and consent of the Senate. The Board is a member of the Federation of Chiropractic Licensing Boards (FCLB), and the Clearinghouse on Licensure, Enforcement and Regulation (CLEAR). The Board is funded by new license fees, renewal license fees and miscellaneous fees. There are approximately 1,908 chiropractors currently licensed by the Board.

The Board is responsible for administering and enforcing the Chiropractic Act, and granting licenses to persons entitled to practice under the provisions of the law. The Board certifies chiropractors to perform meridian therapy, acupuncture or acupressure (MTAA); and in order to retain the MTAA certification, 12 hours of continuing education approved by the Board is required. Chiropractors can also be certified as insurance consultants when the doctors have met the requirements for certification, which include twelve hours of continuing education annually. Licentiates must earn 16 hours of board-approved continuing education, four hours of which must be in x-ray, to qualify for annual license renewal.

4 CSR 70-2.090 establishes and fixes fees and charges authorized by Chapter 331, RSMo.

Examples include:

- ▶ Chiropractor exam fee - \$300, application processing fee - \$240, reciprocity fee - \$300, renewal fee - \$125.
- ▶ Meridian therapy/acupressure/acupuncture certification application fee - \$100.

OVERSIGHT DIVISION
Special Report 1996
Boards and Commissions

| Board of Chiropractic Examiners | FY 94 | FY 95 | FY 96 |
|---|-------------------|-------------------|-------------------|
| Beginning Fund Balance | \$219,739 | \$230,283 | \$222,612 |
| <u>Income - Fees - Total Revenues</u> | \$274,814 | \$275,771 | \$277,104 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$181,168) | (\$26,828) | (\$22,086) |
| Office Expense; Office & Communication Equipment; | (\$13,048) | (\$8,669) | (\$18,164) |
| Communication Expense; Data Processing E & E | (\$7,319) | (\$15,718) | (\$8,936) |
| Institutional & Physical Plant E & E | (\$1,150) | (\$536) | (\$1,267) |
| Professional Services | (\$78,841) | (\$82,389) | (\$37,728) |
| Other Expenses & Adjustments | \$153,416 | (\$17,499) | \$706 |
| Personal Service & Per Diem (2-5-6 FTE) | (\$87,131) | (\$84,928) | (\$101,544) |
| Transfers to Other Agencies | <u>(\$49,029)</u> | <u>(\$46,875)</u> | <u>(\$64,660)</u> |
| <u>Total Expenditures</u> | (\$264,270) | (\$283,442) | (\$253,679) |
| Excess Revenues over Expenditures | \$10,544 | (\$7,671) | \$23,425 |
| <u>Fund Balance</u> | \$230,283 | \$222,612 | \$246,037 |

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| BOARD OF CHIROPRACTIC EXAMINERS | | | |
|---|-------------|-------------|-------------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Licenses Issued | 90 | 71 | 112 |
| No Rejected Applications or Revocations | | | |

| BOARD OF CHIROPRACTIC EXAMINERS | | | |
|--|-------------|-------------|-------------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| Complaints Received & Prior Year Pending | 54 | 38 | 38 |
| Disposed | 17 | 28 | 24 |
| License Disciplined Based on Complaints Received in Year | 3 | 0 | 0 |
| Cases Filed with Administrative Hearing Commission | 6 | 2 | 3 |
| Pending Cases | 28 | 8 | 11 |

State Board of Cosmetology

The State Board of Cosmetology , established in 1945, examines, licenses, and registers qualified persons engaged in hairdressing, cosmetology and manicuring. The Board is composed of six registered cosmetologists or manicurists actively practicing cosmetology, hairdressing or manicuring for at least five years, and one voting public member. The director of the Department of Economic Development nominates all members, with the governor appointing for four year terms with the advice and consent of the Senate. The Board is a member of the National Interstate Council of State Boards of Cosmetology and participates in its national testing program. The board is self-sustaining, generating funds through the collection of fees for the issuance of new licenses, the renewal of licenses, and other fees paid by the nearly 60,000 licensees. The Board also licenses cosmetology schools and shops, and inspects them for compliance with sanitary regulations.

4 CSR 90-13.010 establishes and fixes fees and charges authorized by Chapter 329, RSMo.

Examples include:

- ▶ Student exam fee - \$10, instructor exam fee - \$15, operator reciprocity fee and renewal fee - \$30 each, operator reinstatement fee - \$60.
- ▶ School license/renewal fee - \$370 each, salon license/renewal fee - \$30 each.
- ▶ Cosmetology licenses renewed biennially.

OVERSIGHT DIVISION
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Boards and Commissions

| Board of Cosmetology | FY 94 | FY 95 | FY 96 |
|---|--------------------|--------------------|--------------------|
| Beginning Fund Balance | \$835,237 | \$2,143,397 | \$1,288,540 |
| <u>Income - Fees - Total Revenues</u> | \$2,357,557 | \$235,543 | \$1,934,338 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$115,529) | (\$78,335) | (\$82,633) |
| Office Expense; Office & Communication Equipment | (\$35,620) | (\$21,357) | (\$26,418) |
| Communication Expense; Data Processing E & E | (\$40,162) | (\$31,760) | (\$41,038) |
| Institutional & Physical Plant E & E | (\$9,811) | (\$9,916) | (\$11,668) |
| Professional Services | (\$59,869) | (\$57,385) | (\$49,547) |
| Other Expenses & Adjustments | (\$69,487) | (\$201,518) | (\$9,053) |
| Personal Service & Per Diem (18-18-17 FTE) | (\$348,648) | (\$368,878) | (\$385,304) |
| Transfers to Other Agencies | <u>(\$370,271)</u> | <u>(\$321,251)</u> | <u>(\$489,749)</u> |
| <u>Total Expenditures</u> | (\$1,049,397) | (\$1,090,400) | (\$1,095,410) |
| Excess Revenues over Expenditures | \$1,308,160 | (\$854,857) | \$838,928 |
| <u>Fund Balance</u> | \$2,143,397 | \$1,288,540 | \$2,127,468 |

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OVERSIGHT DIVISION
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Boards and Commissions

| BOARD OF COSMETOLOGY | | | |
|--|-------------|-------------|-------------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Licenses Issued | 64,160 | 11,765 | 62,888 |
| Rejected Applications | 342 | 376 | 436 |
| Revocations | 0 | 2 | 0 |

| BOARD OF COSMETOLOGY | | | |
|--|-------------|-------------|-------------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| Complaints | 41 | 35 | 36 |
| Closed | 32 | 25 | 19 |
| Probation | 1 | 0 | 0 |
| Revocation | 0 | 2 | 0 |
| Open | 8 | 8 | 17 |

Committee for Professional Counselors

The Committee for Professional Counselors, established by the General Assembly in 1986, is a six member Committee comprised of five practitioners and one public member appointed by the governor with the advice and consent of the Senate. The Committee, which began licensing professional counselors in 1987, is responsible for reviewing and supervising educational qualifications and counseling experience of applicants; administering examinations; and investigating complaints relating to the counseling profession.

The Committee provides information and outreach programs to counseling education programs and professional associations, to keep students and practitioners apprised of changes in the law or regulations.

4 CSR 95-1.020 establishes the fees authorized by Chapter 337, RSMo.

Examples include:

- ▶ Application fee - \$100, registration fee - \$100, exam fee - \$150, reciprocity fee - \$100, license renewal fee - \$140.

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| Committee for Professional Counselors | FY 94 | FY 95 | FY 96 |
|---|-------------------|-------------------|-------------------|
| Beginning Fund Balance | \$154,894 | \$145,119 | \$164,640 |
| <u>Income - Fees - Total Revenues</u> | \$220,418 | \$232,925 | \$240,400 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$9,623) | (\$12,612) | (\$12,021) |
| Office Expense; Office & Communication Equipment | (\$9,162) | (\$10,534) | (\$14,346) |
| Communication Expense; Data Processing E & E | (\$10,819) | (\$12,709) | (\$2,657) |
| Institutional & Physical Plant E & E | (\$475) | (\$91) | (\$11,004) |
| Professional Services | (\$8,589) | (\$11,025) | (\$5,346) |
| Other Expenses & Adjustments | (\$28,259) | (\$17,197) | \$69,157 |
| Personal Service & Per Diem (* FTE) | (\$97,943) | (\$99,782) | (\$109,834) |
| Transfers to Other Agencies | <u>(\$65,323)</u> | <u>(\$49,454)</u> | <u>(\$75,528)</u> |
| <u>Total Expenditures</u> | (\$230,193) | (\$213,404) | (\$161,579) |
| Excess Revenues over Expenditures | (\$9,775) | \$19,521 | \$78,821 |
| <u>Fund Balance</u> | \$145,119 | \$164,640 | \$243,461 |

*FTE are provided by Professional Registration Administration

UNAUDITED

| COMMITTEE FOR PROFESSIONAL COUNSELORS | | | |
|--|-------|-------|-------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Licenses Issued | 148 | 269 | 115 |
| Renewals | 1,284 | 1,255 | 1,363 |

| COMMITTEE FOR PROFESSIONAL COUNSELORS | | | |
|--|------|------|------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| Complaints | 56 | 28 | 32 |

Missouri Dental Board

The Missouri Dental Board, created by the General Assembly in 1897 and transferred to the Division of Professional Registration by the Omnibus State Reorganization Act of 1974, is a Board comprised of five dentists, one public member, and one dental hygienist appointed for five year terms. Members are nominated by the director of the Department of Economic Development, and appointed by the governor with the advice and consent of the Senate. The Board is a participating member of the Central Regional Dental Testing Service (CRDTS) which gives clinical examinations for member states. The board is self-sustaining through annual licensure fees and other fees paid by dentists, specialist and dental hygienists.

The Board is responsible for enforcing Chapter 332, RSMo, by promulgating rules and regulations; responding to consumer complaints; and investigating and bringing formal complaints against licensees who violate the dental law or rules and regulations. Additionally, the Board will examine the competency of candidates wishing to become dentists, dental specialists or dental hygienists. Applicants for original licensure wishing to practice in Missouri must pass the CRDTS regional examination as well as apply to the Board. Licenses will be issued based on credential verification to qualified applicants licensed in another jurisdiction. Actions may be brought against persons practicing dentistry without a license.

4 CSR 110-2.170 establishes fees authorized by Chapter 332, RSMo.

Examples include:

- ▶ Dentist, application/exam fee - \$150, license renewal fee - \$115.
- ▶ Dental hygienist application/exam fee - \$100, license renewal fee - \$60.
- ▶ Specialty application/exam fee - \$300, license renewal fee - \$125.

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| Dental Board | FY 94 | FY 95 | FY 96 |
|---|--------------------|--------------------|--------------------|
| Beginning Fund Balance | \$568,028 | \$447,969 | \$391,945 |
| <u>Income - Fees - Total Revenues</u> | \$521,650 | \$509,314 | \$512,144 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$52,163) | (\$33,343) | (\$37,823) |
| Office Expense; Office & Communication Equipment | (\$19,171) | (\$17,725) | (\$25,513) |
| Communication Expense; Data Processing E & E | (\$23,939) | (\$34,483) | (\$15,950) |
| Institutional & Physical Plant E & E | (\$1,068) | (\$348) | (\$1,500) |
| Professional Services | (\$73,220) | (\$82,636) | (\$68,973) |
| Other Expenses & Adjustments | (\$94,910) | (\$43,439) | \$8,634 |
| Personal Service & Per Diem (8-9-10 FTE) | (\$239,489) | (\$222,493) | (\$259,497) |
| Transfers to Other Agencies | <u>(\$137,749)</u> | <u>(\$130,871)</u> | <u>(\$169,331)</u> |
| <u>Total Expenditures</u> | (\$641,709) | (\$565,338) | (\$569,953) |
| Excess Expenditures over Revenues | (\$120,059) | (\$56,024) | (\$57,809) |
| <u>Fund Balance</u> | \$447,969 | \$391,945 | \$334,136 |

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OVERSIGHT DIVISION
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Boards and Commissions

| DENTAL BOARD | | | |
|--|-------|-------|-------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Licenses Issued | 250 | 228 | 247 |
| Renewals | 6,150 | 6,171 | 6,094 |
| Applications Rejected | 0 | 0 | 0 |

| DENTAL BOARD | | | |
|--|------|------|------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| Complaints | 210 | 192 | 143 |
| Suspensions | 3 | 4 | 2 |
| Probations | 14 | 3 | 1 |
| Revocations | 0 | 1 | 1 |

State Board of Embalmers and Funeral Directors

The State Board of Embalming was created in 1895, with the name being changed to the State Board of Embalmers and Funeral Directors in 1965 when legislative action required the licensing of funeral directors as well as embalmers. In 1977, the passage of Senate Bill 6 required all applicants for a funeral director's license to pass an examination prior to licensure. The Board consists of six members, including one voting public member; all members are nominated by the director of the Department of Economic Development and appointed by the governor, with the advice and consent of the Senate for five year terms. The Board is self-sustaining by means of fees for new licenses issued; renewal of licenses for embalmers, funeral directors, and funeral establishments; and registration of embalming students.

4 CSR 120-2.100 establishes and fixes fees and charges authorized by Chapter 333, RSMo.

Examples include:

- ▶ Embalmers and funeral director exam fees - \$130 to \$150 each, reexamination fee - \$65, embalmer oral exam fee - \$125.
- ▶ Funeral director license fee - \$100, establishment license fee - \$100, funeral director and embalmer reciprocity fee - \$200 each, renewal fees - \$65.

OVERSIGHT DIVISION
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| Board of Embalmers & Funeral Directors | FY 94 | FY 95 | FY 96 |
|---|--------------------|--------------------|--------------------|
| Beginning Fund Balance | \$358,088 | \$307,020 | \$249,661 |
| <u>Income - Fees - Total Revenues</u> | \$355,711 | \$347,709 | \$437,156 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$42,498) | (\$40,234) | (\$60,166) |
| Office Expense; Office & Communication Equipment | (\$11,783) | (\$13,448) | (\$8,622) |
| Communication Expense; Data Processing E & E | (\$10,486) | (\$8,600) | (\$12,990) |
| Institutional & Physical Plant E & E | (\$4,357) | (\$1,487) | (\$2,287) |
| Professional Services | (\$30,047) | (\$34,313) | (\$37,597) |
| Other Expenses & Adjustments | (\$52,062) | (\$36,247) | \$16,747 |
| Personal Service & Per Diem (6 FTE) | (\$152,934) | (\$153,974) | (\$158,869) |
| Transfers to Other Agencies | <u>(\$102,612)</u> | <u>(\$116,765)</u> | <u>(\$145,666)</u> |
| <u>Total Expenditures</u> | (\$406,779) | (\$405,068) | (\$409,450) |
| Excess Revenues over Expenditures | (\$51,068) | (\$57,359) | \$27,706 |
| <u>Fund Balance</u> | \$307,020 | \$249,661 | \$277,367 |

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| BOARD OF EMBALMERS AND FUNERAL DIRECTORS | | | |
|--|------|------|------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Licenses Issued | 359 | 367 | 161 |
| Applications Rejected | 4 | 2 | 5 |
| Revocations | 1 | 0 | 2 |

| BOARD OF EMBALMERS AND FUNERAL DIRECTORS | | | |
|--|------|------|------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| Complaints | 51 | 38 | 40 |
| Resolved | 23 | 43 | 50 |
| Referred to Attorney General | 14 | 11 | 11 |
| Pending | 30 | 25 | 15 |

Office of Employment Agencies

The Office of Employment Agencies was transferred from the Department of Labor & Industrial Relations by executive order on August 13, 1976. The Office receives and investigates consumer complaints involving the private employment agency industry. A private employment agency is defined as any person, firm or corporation operating or maintaining an employment office or agency for hire, where a fee is charged to the applicant for employment. Each private employment agency must meet statutory requirements and pay the required licensure fee. Licenses are issued for one year, subject to suspension or revocation for cause. The free public employment bureaus organized and established by the Department of Labor and Industrial Relations or charitable organizations are not subject to the licensing or regulatory requirements.

- ▶ An annual licensure fee of \$100 is authorized by Chapter 289, RSMo.

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Special Report 1996
Boards and Commissions

| Office of Employment Agencies | FY 94 | FY 95 | FY 96 |
|--|------------|------------|------------|
| Direct Appropriation to Office of Employment Agencies (General Revenue Fund) | \$21,057 | \$21,599 | |
| Estimated Transfer from General Revenue to Professional Registration fees fund | | | \$21,599 |
| <u>Estimated Income - Fees - General Revenue</u> | \$12,500* | \$12,000* | \$9,000* |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$96) | (\$169) | (\$63) |
| Office Expense; Office & Communication Equipment | (\$1,071) | (\$612) | (\$44) |
| Communication Expense; Data Processing E & E | (\$477) | (\$357) | (\$248) |
| Institutional & Physical Plant E & E | (\$3) | (\$13) | \$0 |
| Professional Services | (\$5) | (\$4,495) | (\$9) |
| Other Expenses & Adjustments | (\$432) | (\$87) | \$0 |
| Personal Service & Per Diem (# FTE) | (\$14,471) | (\$8,988) | (\$3,793) |
| Transfers to Other Agencies | <u>\$0</u> | <u>\$0</u> | <u>\$0</u> |
| <u>Total Expenditures</u> | (\$16,555) | (\$14,721) | (\$4,157) |

* Estimates based on number of licenses issued.

FTE are provided by Professional Registration Administration.

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OVERSIGHT DIVISION
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| OFFICE OF EMPLOYMENT AGENCIES | | | |
|--|------|------|------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Licenses Issued | 125 | 120 | 90 |

| OFFICE OF EMPLOYMENT AGENCIES | | | |
|--|---|------|------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| | There have been no formal complaints filed. | | |

Missouri Board of Examiners for Hearing Instrument Specialists

The Council for Hearing Aid Dealers and Fitters was created by the 78th General Assembly and required all persons fitting or selling hearing aids to be licensed. The Council was to serve in an advisory capacity to the Department of Economic Development on all matters relating to licensure procedures. In 1995, the Council was abolished and the Missouri Board of Examiners for Hearing Instrument Specialists was created. The Board will be comprised of five hearing instrument specialists with at least five years of experience and a valid license; one otolaryngologist; one voting public member; and one audiologist with a certificate of clinical competence and licenses pursuant to Chapter 345, RSMo. Board members will be appointed by the governor with the advice and consent of the Senate. All fees and charges payable pursuant to Chapter 346, RSMo, will be deposited into the Hearing Instrument Specialist Fund created to pay the Board's expenses.

The Board is responsible for advising the Division of Professional Registration on all licensure matters; receiving and processing complaints; reviewing complaints; authorizing investigations; adopting and publishing a Code of Ethics; establishing an official seal; providing examinations for applicants; reviewing examination results; determining appropriate educational requirements; and following divisional administrative practices and procedures in conducting official duties.

Proposed 4 CSR 165-1.020 establishes fees authorized by Chapter 346, RSMo.

Proposed examples include:

- ▶ Hearing Instrument Specialist (HIS) application fee - \$150, HIS training application fee - \$150.
- ▶ Written exam fee - \$25, practical exam fee - \$125, license renewal - \$125.

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| Board of Examiners for Hearing Instrument Specialists | FY 94 | FY 95 | FY 96 |
|--|--------------|--------------|--------------|
| Beginning Fund Balance | \$0 | \$0 | \$0 |
| <u>Income - Fees - Total Revenues to the Hearing Instrument Specialists Fund</u> | \$0 | \$0 | \$22,590 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | \$0 | \$0 | (\$3,954) |
| Office Expense; Office & Communication Equipment | \$0 | \$0 | (\$2,556) |
| Communication Expense; Data Processing E & E | \$0 | \$0 | (\$1,875) |
| Institutional & Physical Plant E & E | \$0 | \$0 | (\$1,621) |
| Professional Services | \$0 | \$0 | (\$980) |
| Other Expenses & Adjustments | \$0 | \$0 | (\$1,281) |
| Personal Service & Per Diem (* FTE) | \$0 | \$0 | (\$23,531) |
| Transfers to Other Agencies | <u>\$0</u> | <u>\$0</u> | <u>\$0</u> |
| <u>Total Expenditures</u> | \$0 | \$0 | #\$35,798) |
| <u>Fund Balance</u> | \$0 | \$0 | \$22,590 |

*FTE is provided by Professional Registration Administration
#Startup appropriation from the General Revenue Fund

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| BOARD OF EXAMINERS FOR HEARING INSTRUMENT SPECIALISTS | | | |
|--|------|------|------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Licenses Issued | 0 | 0 | 10 |
| Renewals | 0 | 0 | 480 |

| BOARD OF EXAMINERS FOR HEARING INSTRUMENT SPECIALISTS | | | |
|---|------|------|------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| Complaints Received | 0 | 0 | 11 |

State Board of Registration for the Healing Arts

The State Board of Registration for the Healing Arts was established as a bipartisan board of nine members including six medical doctors, two osteopathic doctors and one public member. The members are nominated by the director of the Department of Economic Development, and appointed by the governor with the advice and consent of the Senate for four year terms.

The focus of the Board is to protect the health and welfare of Missouri citizens. Among its duties, the Board is responsible for the examination, licensure and annual registration of physicians, surgeons and health professionals. A health professional includes individuals in the areas of physical therapy, speech pathology, clinical audiology, speech, clinical aides, physician assistants and athletic trainers. The Board also has the authority to investigate complaints, revoke licenses, and investigate the unauthorized practice of medicine.

In conjunction with the Board, the General Assembly has created the Missouri Athletic Trainers Advisory Committee, the Advisory Commission for Speech Pathologists and Clinical Audiologists, and the Advisory Commission for Physical Therapists. These entities were created in order to advise the board on the registration, licensure and regulation of these professionals.

4 CSR 150-3.080 and 4 CSR 150-7.200 establish the fees authorized by Chapter 334, RSMo.

Examples include:

- ▶ Physician assistants application fee - \$195, renewal fee - \$50.
- ▶ Physical therapy exam fee - \$130, temporary license fee - \$10, renewal fee - \$10, endorsement of the board fee - \$25.
- ▶ Physicians and surgeons exam fee - \$450, special purpose fee - \$450, renewal of certificate of registration fee - \$120, temporary license fee - \$30.
- ▶ Speech pathologists and clinical audiologists license application processing fee - \$50, renewal fee - \$25.
- ▶ Speech pathologists and clinical audiologists aides license application processing fee - \$25, renewal fee - \$10.
- ▶ Athletic trainers registration with exam - \$150, renewal fee - \$50, endorsement of the board fee - \$25.

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| Board of Registration for the Healing Arts | FY 94 | FY 95 | FY 96 |
|---|--------------------|--------------------|--------------------|
| Beginning Fund Balance | \$2,437,382 | \$2,819,842 | \$3,446,710 |
| <u>Income - Fees - Total Revenues</u> | \$3,015,067 | \$3,116,765 | \$3,007,686 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$81,986) | (\$84,593) | (\$89,921) |
| Office Expense; Office & Communication Equipment | (\$134,448) | (\$73,406) | (\$73,341) |
| Communication Expense; Data Processing E & E | (\$107,879) | (\$119,887) | (\$104,410) |
| Institutional & Physical Plant E & E | (\$3,907) | (\$2,368) | (\$4,286) |
| Professional Services | (\$172,185) | (\$366,487) | (\$209,489) |
| Other Expenses & Adjustments | (\$298,012) | (\$109,065) | \$51,031 |
| Personal Service & Per Diem (47-50-45 FTE) | (\$1,055,561) | (\$1,066,347) | (\$1,160,259) |
| Transfers to Other Agencies | <u>(\$778,629)</u> | <u>(\$667,744)</u> | <u>(\$867,943)</u> |
| <u>Total Expenditures</u> | (\$2,632,607) | (\$2,489,897) | (\$2,458,618) |
| Excess Revenues over Expenditures | \$382,460 | \$626,868 | \$549,068 |
| <u>Fund Balance</u> | \$2,819,842 | \$3,446,710 | \$3,995,778 |

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| BOARD OF REGISTRATION FOR THE HEALING ARTS | | | |
|--|-------------|-------------|-------------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Licenses Issued | 2,408 | 2,671 | 2,929 |
| Renewals | 24,298 | 24,452 | 25,254 |
| Rejected Applications | 11 | 5 | 5 |
| Revocations | 32 | 25 | 13 |

| BOARD OF REGISTRATION FOR THE HEALING ARTS | | | |
|---|-------------|-------------|-------------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| Complaints Received | 627 | 689 | 618 |
| Cases Resolved | 593 | 661 | 472 |
| Referred to Legal Counsel | 88 | 57 | 41 |

Office of Missouri Health Care Providers

The Office of Missouri Health Care Providers, created in 1989, requires all persons representing themselves as registered "occupational therapists" or registered "respiratory care practitioners" to register with the Division of Professional Registration. The Office is funded from new certificates of registration and annual renewal fees.

All applicants must verify that they have passed the applicable nationwide examination and present credentials from the designated certifying entity before being registered with the state. For occupational therapists, the certifying entity is the American Occupational Therapy Certification Board; and for respiratory care practitioners, the certifying entity is the National Board for Respiratory Care. The Office maintains a current list of registered professionals and works with the certifying entities to handle consumer complaints.

4 CSR 155-3.010 establishes the fees authorized by Chapter 334, RSMo.

Examples include:

- ▶ Application fees and annual renewal fees for occupational therapist, respiratory care practitioner, and respiratory therapist - \$25 to \$35.

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| Office of Health Care Providers | FY 94 | FY 95 | FY 96 |
|---|-------------------|-------------------|-------------------|
| Beginning Fund Balance | \$83,334 | \$81,232 | \$124,191 |
| <u>Income - Fees - Total Revenues</u> | \$52,322 | \$99,155 | \$114,664 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$1,154) | (\$44) | (\$57) |
| Office Expense; Office & Communication Equipment | (\$626) | (\$1,304) | (\$1,305) |
| Communication Expense; Data Processing E & E | (\$1,843) | (\$5,056) | (\$2,988) |
| Institutional & Physical Plant E & E | (\$32) | (\$2) | (\$1,159) |
| Professional Services | (\$1,028) | (\$12,549) | (\$1,640) |
| Other Expenses & Adjustments | (\$6,218) | (\$3,970) | \$5,266 |
| Personal Service & Per Diem (* FTE) | (\$24,834) | (\$17,299) | (\$19,648) |
| Transfers to Other Agencies | <u>(\$18,689)</u> | <u>(\$15,972)</u> | <u>(\$26,098)</u> |
| <u>Total Expenditures</u> | (\$54,424) | (\$56,196) | (\$47,629) |
| Excess Revenues over Expenditures | (\$2,102) | \$42,959 | \$67,035 |
| <u>Fund Balance</u> | \$81,232 | \$124,191 | \$191,226 |

*FTE are provided by Professional Registration Administration

UNAUDITED

| OFFICE OF HEALTH CARE PROVIDERS | | | |
|--|-------|-------|-------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Licenses Issued | 476 | 2,687 | 219 |
| Renewals | 1,888 | 1,976 | 1,419 |

| OFFICE OF HEALTH CARE PROVIDERS | |
|--|--|
| <u>Complaints Received and Disposition</u> | |
| None | |

State Board of Nursing

The State Board of Nursing is composed of five professional nurses, two practical nurses, plus one other nurse, and one voting public member appointed by the governor with the advice and consent of the Senate.

The Board is responsible for adopting and revising the rules and regulations necessary to effect the provisions of the Nursing Practice Act; prescribing minimum standards for nursing education programs; surveying and accrediting nursing programs; examining, licensing and renewing the licenses of qualified applicants; and prosecuting persons violating the provisions of the Nursing Practice Act.

4 CSR 200-4.010 establishes the fees and charges authorized by Chapter 335, RSMo.

Examples include:

- ▶ Registered Nurse (RN) exam fee - \$20, endorsement fee - \$30, renewal fee - \$23.
- ▶ Licensed Practical Nurse (LPN) exam fee - \$11, endorsement fee - \$26, renewal fee - \$19.
- ▶ Annual school registration fee - \$50.

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| Board of Nursing | FY 94 | FY 95 | FY 96 |
|---|--------------------|--------------------|--------------------|
| Beginning Fund Balance | \$1,304,258 | \$1,232,906 | \$1,111,460 |
| <u>Income - Fees - Total Revenues</u> | \$1,627,758 | \$1,665,337 | \$1,705,623 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$78,873) | (\$68,894) | (\$91,520) |
| Office Expense; Office & Communication Equipment | (\$72,019) | (\$69,196) | (\$64,628) |
| Communication Expense; Data Processing E & E | (\$105,767) | (\$112,306) | (\$106,270) |
| Institutional & Physical Plant E & E | (\$11,335) | (\$1,998) | (\$3,036) |
| Professional Services | (\$198,789) | (\$226,665) | (\$219,260) |
| Other Expenses & Adjustments | (\$171,337) | (\$207,394) | \$73,865 |
| Personal Service & Per Diem (20-24-25 FTE) | (\$511,096) | (\$519,484) | (\$573,289) |
| Transfers to Other Agencies | <u>(\$549,894)</u> | <u>(\$580,846)</u> | <u>(\$807,274)</u> |
| <u>Total Expenditures</u> | (\$1,699,110) | (\$1,786,783) | (\$1,791,412) |
| Excess Expenditures over Revenues | (\$71,352) | (\$121,446) | (\$85,789) |
| <u>Fund Balance</u> | \$1,232,906 | \$1,111,460 | \$1,025,671 |

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| BOARD OF NURSING | | | |
|--|--------|--------|--------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| Licenses Issued | FY94 | FY95 | FY96 |
| Exam & Endorsement | 6,579 | 7,193 | 6,932 |
| Renewal | 78,791 | 81,783 | 84,604 |
| Rejected Applications | 49 | 20 | 26 |
| Revocation | 10 | 1 | 8 |

| BOARD OF NURSING | | | |
|--|------|------|------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| Beginning Balance | 236 | 300 | 342 |
| Received | 481 | 464 | 503 |
| Completed | 417 | 422 | 413 |
| Ending Balance | 300 | 342 | 432 |

State Board of Optometry

The State Board of Optometry, created in 1921, consists of five doctors of optometry and one public member, nominated by the director of the Department of Economic Development and appointed by the governor with the advice and consent of the Senate to serve five year terms. Renewal fees are paid each year, no later than October 31st, by registered, practicing Missouri optometrists.

It is the duty of the Board to administer and enforce the Optometry Act; conduct examinations; and grant certificates of registration to persons entitled to practice optometry under the provisions of the law. In order to qualify for renewal, licentiates must have at least eight hours of continuing education.

4 CSR 210-2.070 establishes fees and charges authorized by Chapter 336, RSMo.

Examples include:

- ▶ Application fee - \$125, Missouri law exam fee - \$50, licensure fee - \$100, renewal fee - \$75, late fee - \$100, and reactivation fee - \$350.

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| Board of Optometry | FY 94 | FY 95 | FY 96 |
|---|-------------------|-------------------|-------------------|
| Beginning Fund Balance | \$142,081 | \$124,058 | \$101,712 |
| <u>Income - Fees - Total Revenues</u> | \$83,530 | \$87,595 | \$88,084 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$5,877) | (\$11,270) | (\$13,389) |
| Office Expense; Office & Communication Equipment | (\$481) | (\$6,501) | (\$5,397) |
| Communication Expense; Data Processing E & E | (\$2,157) | (\$5,431) | (\$5,105) |
| Institutional & Physical Plant E & E | (\$250) | (\$1,142) | (\$402) |
| Professional Services | (\$2,298) | (\$5,926) | (\$4,595) |
| Other Expenses & Adjustments | (\$29,746) | (\$16,691) | \$3,874 |
| Personal Service & Per Diem (1 FTE) | (\$34,019) | (\$36,032) | (\$37,070) |
| Transfers to Other Agencies | <u>(\$26,725)</u> | <u>(\$26,948)</u> | <u>(\$32,026)</u> |
| <u>Total Expenditures</u> | (\$101,553) | (\$109,941) | (\$94,110) |
| Excess Expenditures over Revenues | (\$18,023) | (\$22,346) | (\$6,026) |
| <u>Fund Balance</u> | \$124,058 | \$101,712 | \$95,686 |

UNAUDITED

| BOARD OF OPTOMETRY | | | |
|--|------|------|------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Licenses Issued | 66 | 52 | 54 |
| No Rejected Applications or Revocations | | | |

| BOARD OF OPTOMETRY | | | |
|--|------|------|------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| Complaints Received and Prior Year Pending | 14 | 9 | 20 |
| Disposed | 8 | 8 | 12 |
| Cases filed with Administrative Hearing Commission | 0 | 0 | 1 |
| Pending Cases | 6 | 1 | 7 |

State Board of Pharmacy

The State Board of Pharmacy, established in 1909 is comprised of six licensed pharmacists in good standing that are actively engaged in the practice of pharmacy within the state, and one public member. During the 66th General Assembly, a pharmacy law was enacted which granted the Board the power to license all drug stores, pharmacies, apothecary shops and chemist shops. Six inspectors assist in enforcement of the law.

The Board issues 6,300 annual renewals to registered pharmacists; 1,400 pharmacy permits; 75 renewals to non-resident pharmacies; and 600 drug distributor renewals. The Board also licenses intern pharmacist, and renews approximately 250 intern pharmacist licenses annually. Temporary licenses are issued to pharmacists licensed in another state, but completing a residency program in Missouri. The temporary license restricts the pharmacist to specific sites as approved by the Board.

4 CSR 220-4.010 and 4 CSR 220-4.020 establish fees and charges authorized by Chapter 338, RSMo.

Examples include:

- ▶ License exam fee - \$105, license without exam fee - \$350, license renewal fee - \$80.
- ▶ Original pharmacy permit fee - \$250, pharmacy renewal fee - \$200.
- ▶ Wholesale drug distributor license fee - \$250, renewal fee - \$200.
- ▶ Intern pharmacist license fee - \$40, renewal fee - \$25.

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| Board of Pharmacy | FY 94 | FY 95 | FY 96 |
|---|--------------------|--------------------|--------------------|
| Beginning Fund Balance | \$369,640 | \$309,517 | \$275,478 |
| <u>Income - Fees - Total Revenues</u> | \$814,552 | \$813,707 | \$1,058,506 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$44,015) | (\$91,822) | (\$68,549) |
| Office Expense; Office & Communication Equipment | (\$47,096) | (\$41,092) | (\$39,982) |
| Communication Expense; Data Processing E & E | (\$32,409) | (\$36,228) | (\$23,178) |
| Institutional & Physical Plant E & E | (\$4,139) | (\$4,620) | (\$1,913) |
| Professional Services | (\$9,587) | (\$13,461) | (\$9,390) |
| Other Expenses & Adjustments | (\$183,422) | (\$84,557) | \$32,995 |
| Personal Service & Per Diem (11-12-11 FTE) | (\$325,389) | (\$352,754) | (\$385,106) |
| Transfers to Other Agencies | <u>(\$228,618)</u> | <u>(\$223,212)</u> | <u>(\$354,542)</u> |
| <u>Total Expenditures</u> | (\$874,675) | (\$847,746) | (\$849,665) |
| Excess Revenues over Expenditures | (\$60,123) | (\$34,039) | \$208,841 |
| <u>Fund Balance</u> | \$309,517 | \$275,478 | \$484,319 |

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| BOARD OF PHARMACY | | | |
|--|-------|-------|-------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Licenses Issued | 8,435 | 8,739 | 9,241 |
| Rejected Applications | 3 | 2 | 3 |
| Revocations | 0 | 2 | 2 |

| BOARD OF PHARMACY | | | |
|--|------|------|------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| Complaints Received | 226 | 232 | 188 |
| Referred to Attorney General | 47 | 13 | 42 |
| Referred to Other Boards/Agencies | 5 | 2 | 2 |
| Investigations Pending | 38 | 35 | 20 |
| Pharmacists Required to Meet with Board | 58 | 30 | 21 |
| No Action/Insufficient Evidence | 29 | 94 | 34 |
| Administrative Letters | 101 | 71 | 81 |

State Board of Podiatric Medicine

The Missouri State Board of Podiatry was created in 1945, with the name being changed to the State Board of Podiatric Medicine in 1995. The Board is composed of five members, including one voting public member. Members are nominated by the director of the Department of Economic Development and appointed by the governor with the advice and consent of the Senate for four-year terms. The Board is funded from fees levied for original and renewal licenses. Renewal fees are due each year by March 1st.

The Board is responsible for administering and enforcing the Podiatry Act; conducting examinations; and granting certificates of registration to persons who comply with the provisions of the law. To qualify for renewal, licentiates must have at least 12 hours of continuing education.

4 CSR 230-2.070 establishes fees and charges authorized by Chapter 330, RSMo.

Examples include:

- ▶ Exam fee - \$375, license fee - \$100, renewal license fee - \$150, reciprocity license fee - \$475.

OVERSIGHT DIVISION
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Boards and Commissions

| Board of Podiatric Medicine | FY 94 | FY 95 | FY 96 |
|---|-------------------|-------------------|-------------------|
| Beginning Fund Balance | \$46,382 | \$40,547 | \$44,876 |
| <u>Income - Fees - Total Revenues</u> | \$42,781 | \$43,868 | \$44,926 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$6,536) | (\$10,632) | (\$5,188) |
| Office Expense; Office & Communication Equipment | (\$3,306) | (\$1,510) | (\$1,833) |
| Communication Expense; Data Processing E & E | (\$2,258) | (\$1,205) | (\$1,386) |
| Institutional & Physical Plant E & E | (\$385) | (\$2,831) | (\$208) |
| Professional Services | (\$2,835) | (\$1,161) | (\$5,180) |
| Other Expenses & Adjustments | (\$11,554) | (\$495) | (\$2,349) |
| Personal Service & Per Diem (* FTE) | (\$10,239) | (\$9,242) | (\$10,180) |
| Transfers to Other Agencies | <u>(\$11,503)</u> | <u>(\$12,463)</u> | <u>(\$13,057)</u> |
| <u>Total Expenditures</u> | (\$48,616) | (\$39,539) | (\$39,381) |
| Excess Revenues over Expenditures | (\$5,835) | \$4,329 | \$5,545 |
| <u>Fund Balance</u> | \$40,547 | \$44,876 | \$50,421 |

*FTE are provided by Professional Registration Administration

UNAUDITED

| BOARD OF PODIATRIC MEDICINE | | | |
|--|------|------|------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Licenses Issued | 17 | 6 | 12 |
| Applications Rejected | 0 | 0 | 0 |
| Revocations | 0 | 0 | 0 |

| BOARD OF PODIATRIC MEDICINE | | | |
|--|------|------|------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| Complaints | 4 | 9 | 2 |
| Resolved | 1 | 8 | 5 |
| Referred to Attorney General | 0 | 1 | 0 |
| Pending | 3 | 4 | 1 |

State Committee of Psychologists

The State Committee of Psychologists consists of seven psychologists and one voting public member, appointed to serve for five year terms. Members are recommended by the director of the Department of Economic Development and appointed by the governor with the advice and consent of the Senate.

The Committee is charged with advising the director on matters related to the examination, licensure, registration, and discipline of individuals practicing psychology in the State of Missouri.

4 CSR 235-1.020 establishes fees and charges authorized by Chapter 337, RSMo.

Examples include:

- ▶ Application fee - \$150, exam fee - \$250, renewal fee - \$150.
- ▶ Health Service Provider application fee - \$100, renewal fee - \$50.

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| Committee of Psychologists | FY 94 | FY 95 | FY 96 |
|---|-------------------|-------------------|--------------------|
| Beginning Fund Balance | \$360,065 | \$366,014 | \$414,296 |
| <u>Income - Fees - Total Revenues</u> | \$368,520 | \$339,002 | \$366,573 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$26,101) | (\$23,577) | (\$19,172) |
| Office Expense; Office & Communication Equipment | (\$13,661) | (\$8,769) | (\$7,629) |
| Communication Expense; Data Processing E & E | (\$13,814) | (\$17,100) | (\$2,054) |
| Institutional & Physical Plant E & E | (\$1,226) | (\$1,505) | (\$6,678) |
| Professional Services | (\$25,073) | (\$29,207) | (\$32,973) |
| Other Expenses & Adjustments | (\$79,815) | (\$18,121) | \$56,712 |
| Personal Service & Per Diem (* FTE) | (\$120,734) | (\$104,545) | (\$109,793) |
| Transfers to Other Agencies | <u>(\$82,147)</u> | <u>(\$87,896)</u> | <u>(\$145,552)</u> |
| <u>Total Expenditures</u> | (\$362,571) | (\$290,720) | (\$267,139) |
| Excess Revenues over Expenditures | \$5,949 | \$48,282 | \$99,434 |
| <u>Fund Balance</u> | \$366,014 | \$414,296 | \$513,730 |

*FTE are provided by Professional Registration Administration

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| COMMITTEE OF PSYCHOLOGISTS | | | |
|--|-------|-------|-------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Licenses Issued | 1,575 | 1,690 | 1,655 |
| Applications Rejected | 29 | 16 | 14 |

| COMMITTEE OF PSYCHOLOGISTS | | | |
|--|------|------|------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| Beginning Balance | 15 | 26 | 40 |
| Complaints Received | 36 | 49 | 33 |
| Resolved | 25 | 35 | 30 |
| Ending Balance | 26 | 40 | 43 |

Missouri Real Estate Commission

The Missouri Real Estate Commission, created in 1941, is comprised of six members with at least 10 years experience as real estate brokers and one public member appointed for five year terms.

The Commission is responsible for promulgating rules and regulations necessary to implement the provisions of the Real Estate Licensure Law. Under its provisions, no real estate broker or sales person may act as such, without first procuring a license from the Commission. Other responsibilities of the Commission include, investigations and audits; investigating consumer complaints; auditing real estate escrow accounts to verify proper handling of home buyers' earnest money; and approving all real estate pre-licensing and continuing education courses.

4 CSR 250-5.020 and 4.CSR 250.5-030 establish fees authorized by Chapter 339, RSMo.

Examples include:

- ▶ Original application fee for brokers and salespersons - \$50, original license fee for brokers, inactive brokers, broker-partners, broker-associates, broker officers or broker-salespersons - \$80, original license fee for salespersons - \$40, original license fee for partnerships, associations, corporations or professional corporations - \$80, renewal license fees - \$40 to \$50.
- ▶ Initial school accreditation fee - \$200, annual renewal of accreditation fee - \$100, initial approval of administrator fee - \$100, annual renewal fee - \$25, initial approval of instructor fee - \$50; annual renewal fee - \$25.

OVERSIGHT DIVISION
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| Real Estate Commission | FY 94 | FY 95 | FY 96 |
|---|--------------------|--------------------|--------------------|
| Beginning Fund Balance | \$1,068,384 | \$1,120,228 | \$1,761,700 |
| <u>Income - Fees - Total Revenues</u> | \$1,515,315 | \$1,968,127 | \$1,526,352 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$68,092) | (\$77,975) | (\$44,775) |
| Office Expense; Office & Communication Equipment | (\$56,376) | (\$47,218) | (\$38,213) |
| Communication Expense; Data Processing E & E | (\$86,745) | (\$110,192) | (\$113,270) |
| Institutional & Physical Plant E & E | (\$2,629) | (\$1,001) | (\$471) |
| Professional Services | (\$13,345) | (\$16,312) | (\$9,785) |
| Other Expenses & Adjustments | (\$192,346) | (\$140,984) | \$6,019 |
| Personal Service & Per Diem (24 FTE) | (\$565,128) | (\$504,111) | (\$593,777) |
| Transfers to Other Agencies | <u>(\$478,810)</u> | <u>(\$428,862)</u> | <u>(\$530,974)</u> |
| <u>Total Expenditures</u> | (\$1,463,471) | (\$1,326,655) | (\$1,325,246) |
| Excess Revenues over Expenditures | \$51,844 | \$641,472 | \$201,106 |
| <u>Fund Balance</u> | \$1,120,228 | \$1,761,700 | \$1,962,806 |

UNAUDITED

| REAL ESTATE COMMISSION | | | |
|--|--------|--------|--------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Licenses Issued | 48,250 | 44,095 | 46,405 |
| Rejected Applications | 60 | 28 | 12 |
| Revocations | 13 | 6 | 3 |

| REAL ESTATE COMMISSION | | | |
|--|------|------|------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| Complaints Received | 359 | 311 | 273 |
| Dismissed | 222 | 200 | 182 |
| Investigated | 137 | 111 | 91 |

Missouri Real Estate Appraisers Commission

The Missouri Real Estate Appraisers Commission is comprised of six appraisers and one public member, appointed by the governor with the advice and consent of the Senate. The Commission was created to certify and license qualified persons engaged in the practice of real estate appraising.

4 CSR 245-5.020 and 4 CSR 245-5.030 establish fees and charges authorized by Chapter 339, RSMo.

Examples include:

- ▶ Original application for certification or licensure fee - \$125.
- ▶ Certification/License fee and annual renewal fee - \$175.

OVERSIGHT DIVISION
Special Report 1996
Boards and Commissions

| Real Estate Appraisers Commission | FY 94 | FY 95 | FY 96 |
|---|-------------------|-------------------|--------------------|
| Beginning Fund Balance | \$545,914 | \$678,781 | \$743,369 |
| <u>Income - Fees - Total Revenues</u> | \$381,208 | \$327,941 | \$314,764 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$26,196) | (\$24,833) | (\$21,258) |
| Office Expense; Office & Communication Equipment | (\$22,455) | (\$23,362) | (\$18,740) |
| Communication Expense; Data Processing E & E | (\$12,867) | (\$10,905) | (\$4,384) |
| Institutional & Physical Plant E & E | (\$325) | (\$1,202) | (\$6,089) |
| Professional Services | (\$47,082) | (\$5,094) | (\$42,349) |
| Other Expenses & Adjustments | (\$13,641) | (\$39,020) | \$64,519 |
| Personal Service & Per Diem (* FTE) | (\$67,897) | (\$91,301) | (\$105,868) |
| Transfers to Other Agencies | <u>(\$57,878)</u> | <u>(\$67,636)</u> | <u>(\$194,883)</u> |
| <u>Total Expenditures</u> | (\$248,341) | (\$263,353) | (\$329,052) |
| Excess Revenues over Expenditures | \$132,867 | \$64,588 | (\$14,288) |
| <u>Fund Balance</u> | \$678,781 | \$743,369 | \$729,081 |

*FTE are provided by Professional Registration Administration

UNAUDITED

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| REAL ESTATE APPRAISERS COMMISSION | | | |
|--|------|------|------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Licenses Issued | 233 | 189 | 119 |
| Rejected Applications | 11 | 18 | 14 |
| Revocations | 0 | 0 | 2 |

| REAL ESTATE APPRAISERS COMMISSION | | | |
|--|------|------|------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| Closed | 34 | 16 | 8 |
| Pending | 22 | 11 | 10 |
| Referred to Attorney General | 6 | 7 | 10 |
| Revocation | 0 | 0 | 1 |

Missouri Veterinary Medical Board

The Missouri Veterinary Medical Board, created in 1904, consists of five licensed veterinarians, one of whom is the state veterinarian serving as an *ex officio* member, and one public member. The Board is appointed by the governor with the advice and consent of the Senate for terms of four years. The Board is a member of the American Association of Veterinary State Boards. The Board is funded by new licenses, registrations and facility permits, and annual renewal fees.

The Missouri Veterinary Medical Practice Act, revised in 1992, protects the citizens of the state through the examination, licensure and regulation of veterinary medicine doctors and technicians. The Board is authorized to respond to consumer complaints, and to investigate and file formal complaints against licensees who violate the law. Additionally, the Board appoints a Veterinary Technician Examining Committee consisting of two veterinarians, two veterinary technicians and the executive director to administer the examinations for registration in veterinary technology.

4 CSR 270-1.021 establishes fees authorized by Chapter 340, RSMo.

Examples include:

- ▶ Veterinarian registration fee - \$50, state exam fee - \$100, national exam fee - \$165, clinical competency test fee - \$140, reciprocity fee - \$150, renewal fee - \$100.
- ▶ Veterinary technicians registration fee - \$50, state exam fee - \$30, national exam fee - \$80, reciprocity fee - \$50, renewal fee - \$20.
- ▶ Facility permit fee - \$100, renewal fee - \$50.

OVERSIGHT DIVISION
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| Veterinary Medical Board | FY 94 | FY 95 | FY 96 |
|---|-------------------|-------------------|-------------------|
| Beginning Fund Balance | \$150,572 | \$254,765 | \$367,738 |
| <u>Income - Fees - Total Revenues</u> | \$380,870 | \$333,135 | \$341,293 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$19,225) | (\$21,290) | (\$18,700) |
| Office Expense; Office & Communication Equipment | (\$21,762) | (\$5,789) | (\$9,143) |
| Communication Expense; Data Processing E & E | (\$9,874) | (\$14,422) | (\$20,276) |
| Institutional & Physical Plant E & E | (\$1,437) | (\$2,865) | (\$1,122) |
| Professional Services | (\$32,988) | (\$37,848) | (\$5,119) |
| Other Expenses & Adjustments | (\$39,866) | (\$3,170) | (\$35,033) |
| Personal Service & Per Diem (2-2-3 FTE) | (\$75,031) | (\$78,732) | (\$71,775) |
| Transfers to Other Agencies | <u>(\$76,494)</u> | <u>(\$56,046)</u> | <u>(\$80,146)</u> |
| <u>Total Expenditures</u> | (\$276,677) | (\$220,162) | (\$241,314) |
| Excess Revenues over Expenditures | \$104,193 | \$112,973 | \$99,979 |
| <u>Fund Balance</u> | \$254,765 | \$367,738 | \$467,717 |

UNAUDITED

| VETERINARY MEDICAL BOARD | | | |
|--|-------|-------|-------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Licenses Issued | 3,655 | 3,673 | 3,721 |
| Rejected Applications | 4 | 3 | 5 |
| Revocations | 3 | 1 | 2 |

| VETERINARY MEDICAL BOARD | | | |
|--|------|------|------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| Complaints Received | 61 | 61 | 60 |
| Dismissed | 47 | 45 | 47 |
| Investigated | 14 | 16 | 13 |

Advisory Committee for Licensed Clinical Social Workers

The Advisory Committee for Licensed Clinical Social Workers, established by rule promulgated by the Division of Professional Registration, resulted from the passage of the clinical social work law passed in 1989. The Advisory Committee consists of six licensed clinical social workers and one public member, appointed by the director of the Division of Professional Registration.

The division director is responsible for licensing applicants; promulgating rules; disciplining licensees; responding to consumer complaints; and seeking injunctions against individuals who violate the law. The Advisory Committee will assist the director in determining licensure requirements and establishing ethical standards for clinical social workers.

4 CSR 263-1.020 establishes the fees authorized by Chapter 337, RSMo.

Examples include:

- ▶ Application fee - \$100 to \$200, supervision registration fee - \$50, two year license renewal fee - \$110, reciprocity application fee - \$250.

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**Advisory Committee for Licensed
Clinical Social Workers**

FY 94

FY 95

FY 96

| | | | |
|---|-------------------|-------------------|-------------------|
| Beginning Fund Balance | \$372,121 | \$374,488 | \$446,048 |
| <u>Income - Fees - Total Revenues</u> | \$245,591 | \$318,090 | \$234,869 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$3,767) | (\$6,346) | (\$3,152) |
| Office Expense; Office & Communication Equipment | (\$13,698) | (\$16,131) | (\$6,882) |
| Communication Expense; Data Processing E & E | (\$14,223) | (\$25,664) | (\$7,428) |
| Institutional & Physical Plant E & E | (\$321) | (\$317) | (\$5,576) |
| Professional Services | (\$7,412) | (\$17,256) | (\$5,654) |
| Other Expenses & Adjustments | (\$40,034) | (\$19,289) | \$28,287 |
| Personal Service & Per Diem (* FTE) | (\$79,394) | (\$74,655) | (\$67,624) |
| Transfers to Other Agencies | <u>(\$84,375)</u> | <u>(\$86,872)</u> | <u>(\$89,249)</u> |
| <u>Total Expenditures</u> | (\$243,224) | (\$246,530) | (\$157,278) |
| Excess Revenues over Expenditures | \$2,367 | \$71,560 | \$77,591 |
| <u>Fund Balance</u> | \$374,488 | \$446,048 | \$523,639 |

*FTE are provided by Professional Registration Administration

UNAUDITED

| ADVISORY COMMITTEE FOR LICENSED CLINICAL SOCIAL WORKERS | | | |
|--|-------|-------|-------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Licenses Issued | 1,998 | 2,331 | 2,391 |
| Rejected Applications | 77 | 12 | 47 |
| Revocation | 0 | 0 | 0 |

| ADVISORY COMMITTEE FOR LICENSED CLINICAL SOCIAL WORKERS | | | |
|---|------|------|------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| Beginning Balance | 0 | 4 | 7 |
| New | 4 | 20 | 13 |
| Disposed | 0 | 17 | 12 |
| Ending Balance | 4 | 7 | 8 |

Landscape Architectural Council

Established in 1989, the Missouri Landscape Architectural Council is responsible for regulating the use of the title "landscape architect." The Council consists of four landscape architects and one public member, appointed by the director of the Department of Economic Development, with members serving four year terms, but not more than two consecutive four year terms. Each Council member must be a resident of Missouri for at least one year; at least 30 years old; and have at least 10 years active experience in the professional practice of landscape architecture as a principle livelihood. The Council is a member of the National Council of Landscape Architectural Registration Boards and an affiliate member of the American Society of Landscape Architects. The Council is funded by registration and renewal fees.

The Council's responsibilities include, protecting Missouri consumers from misuse or misrepresentation of the title "landscape architect"; protecting citizens' property from damage or destruction through dangerous, dishonest, incompetent or unlawful landscape architecture; and implementing and sustaining a system for the examination and registration of landscape architects in Missouri. Under the law, no person may use the title "landscape architect", or the terms "landscape architecture" or "landscape architectural" without registering with the Council.

4 CSR 196-6.010 establishes fees and charges authorized by Chapter 327, RSMo.

Examples include:

- ▶ Application fee - \$50, senior landscape architects' exam application fee - \$155, initial registration fee - \$145, uniform national exam fee - \$295, annual renewal fee - \$95, corporate registration and renewal fee - \$100 each.

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| Landscape Architectural Council | FY 94 | FY 95 | FY 96 |
|---|------------------|------------------|------------------|
| Beginning Fund Balance | \$25,305 | \$39,622 | \$56,674 |
| <u>Income - Fees - Total Revenues</u> | \$21,455 | \$24,098 | \$19,360 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$5) | (\$42) | (\$315) |
| Office Expense; Office & Communication Equipment | (\$714) | (\$372) | (\$93) |
| Communication Expense; Data Processing E & E | (\$1,340) | (\$207) | (\$211) |
| Institutional & Physical Plant E & E | (\$68) | (\$2,539) | (\$673) |
| Professional Services | (\$32) | (\$648) | \$0 |
| Other Expenses & Adjustments | (\$1,841) | (\$1,782) | (\$23,805) |
| Personal Service & Per Diem (* FTE) | \$0 | \$0 | (\$4,714) |
| Transfers to Other Agencies | <u>(\$3,138)</u> | <u>(\$1,456)</u> | <u>(\$5,373)</u> |
| <u>Total Expenditures</u> | (\$7,138) | (\$7,046) | (\$35,184) |
| Excess Revenues over Expenditures | \$14,317 | \$17,052 | (\$15,824) |
| <u>Fund Balance</u> | \$39,622 | \$56,674 | \$40,850 |

*FTE are provided by Professional Registration Administration

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| LANDSCAPE ARCHITECTURAL COUNCIL | | | |
|--|------|------|------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Licenses Issued | 33 | 11 | 8 |
| Rejected Applications | 1 | 0 | 0 |
| Revocations | 0 | 0 | 0 |

| LANDSCAPE ARCHITECTURAL COUNCIL | | | |
|--|------|------|------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| No Complaints Received | | | |

Board of Geologist Registration

The Board of Geologist Registration was established with the passage of Senate Bill 649 during the 1994 legislative session. The Board is composed of four members representing different geologic specialties; one practitioner employed by the state, a city or county; two public members; and the state geologist serving as an *ex-officio* member. Board members are appointed by the governor with the advice and consent of the Senate.

The Geologist Registration Act requires the licensure of individuals who are engaged in the practice of geology which impacts public health, safety and welfare as defined by law.

4 CSR 145-1.040 establishes fees authorized by Chapter 256, RSMo.

Examples include:

- ▶ Registered geologist application fee - \$125, two part examination - \$150 each part, license renewal fee - \$100.

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| Board of Geologist Registration | FY 94 | FY 95 | FY 96 |
|---|--------------|--------------|--------------|
| Beginning Fund Balance | \$0 | \$0 | \$0 |
| <u>Income - Fees - Total Revenues</u> | \$0 | \$0 | \$129,415 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | \$0 | (\$1,858) | (\$8,283) |
| Office Expense; Office & Communication Equipment | \$0 | (\$3,248) | (\$8,516) |
| Communication Expense; Data Processing E & E | \$0 | (\$8,631) | (\$2,443) |
| Institutional & Physical Plant E & E | \$0 | (\$772) | (\$1,135) |
| Professional Services | \$0 | (\$8,169) | (\$813) |
| Other Expenses & Adjustments | \$0 | (\$3,884) | (\$2,110) |
| Personal Service & Per Diem (* FTE) | \$0 | \$0 | (\$44,034) |
| Transfers to Other Agencies | <u>\$0</u> | <u>\$0</u> | <u>\$0</u> |
| <u>Total Expenditures</u> | \$0 | (\$26,562)# | (\$67,334)# |
| <u>Fund Balance</u> | \$0 | \$0 | \$129,415 |

Expenditures in FY95 and FY96 of \$93,896 will be reimbursed to Professional Registration in FY97 from fees collected in FY96.

* FTE are provided by Professional Registration Administration

UNAUDITED

OVERSIGHT DIVISION
Special Report 1996
Boards and Commissions

| BOARD OF GEOLOGIST REGISTRATION | | | |
|--|------|------|------|
| <u>Licenses Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Licenses Issued | 0 | 0 | 884 |
| Rejected Applications | 0 | 0 | 82 |
| Revocations | 0 | 0 | 0 |

| BOARD OF GEOLOGIST REGISTRATION | | | |
|---|------|------|------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| There have been no formal complaints filed. | | | |

Endowed Care Cemeteries

The Cemetery Endowed Care Fund Law was established by sections 214.270 to 214.410. The Endowed Care Cemeteries, operating under the authority of the director of the Division of Professional Registration, establishes the rules for the qualifications and expectations of endowed care cemeteries; develops and enforces standards for endowed care cemeteries; establishes fees to cover the cost of administering the Endowed Care Cemetery Act; investigates complaints; and disciplines cemeteries found in violation of the standards or rules of the Endowed Care Cemetery Act.

4 CSR 65-2.060 establishes the fees and other charges authorized by Chapter 214, RSMo.

Examples include:

- ▶ Registration fee - \$10, deficiency penalty - \$250.

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| Endowed Care Cemeteries | FY 94 | FY 95 | FY 96 |
|---|-------------------|------------------|-------------------|
| Beginning Fund Balance | \$164,913 | \$201,426 | \$332,195 |
| <u>Income - Fees - Total Revenues</u> | \$188,980 | \$207,178 | \$203,289 |
| <u>Expenditures</u> | | | |
| Travel & Vehicle Expense; Transportation & Equipment | (\$1,180) | (\$5,956) | (\$7,618) |
| Office Expense; Office & Communication Equipment | (\$137) | (\$851) | (\$2,992) |
| Communication Expense; Data Processing E & E | (\$573) | (\$1,309) | (\$1,960) |
| Institutional & Physical Plant E & E | \$0 | (\$1) | \$0 |
| Professional Services | (\$45,678) | (\$59,957) | (\$64,010) |
| Other Expenses & Adjustments | (\$6,364) | \$4,414 | \$428 |
| Personal Service & Per Diem (* FTE) | (\$8,067) | (\$8,353) | (\$29,458) |
| Transfers to Other Agencies | <u>(\$90,468)</u> | <u>(\$4,396)</u> | <u>(\$16,101)</u> |
| <u>Total Expenditures</u> | (\$152,467) | (\$76,409) | (\$121,711) |
| Excess Revenues over Expenditures | \$36,513 | \$130,769 | \$81,578 |
| <u>Fund Balance</u> | \$201,426 | \$332,195 | \$413,773 |

*FTE are provided by Professional Registration Administration

UNAUDITED

| ENDOWED CARE CEMETERIES | | | |
|---|------|------|------|
| <u>Registrations Issued, Rejected Applications, & Revocations</u> | | | |
| | FY94 | FY95 | FY96 |
| Registrations Issued | 0 | 0 | 200 |
| This office does not deal in licenses, but rather registrants. | | | |

| ENDOWED CARE CEMETERIES | | | |
|--|------|------|------|
| <u>Complaints Received and Disposition</u> | | | |
| | FY94 | FY95 | FY96 |
| Complaints | 0 | 0 | 108 |
| Investigated | 0 | 0 | 16 |
| Resolved in Court | 0 | 0 | 45 |

Board for Certification of Interpreters

In 1994, Senate Bill 568 established the Board for Certification of Interpreters within the Missouri Commission for the Deaf. The Board, comprised of five members, the Commission executive director or designee and a non-voting member, will be appointed by the governor with the advice and consent of the Senate from a list of recommendations made by the Commission. The executive director will hire a coordinator for the Board's certification system. Fees would be deposited into the Missouri Commission for the Deaf Board of Certification of Interpreters Fund.

The responsibilities of the Board include: prescribing qualifications for certification levels; issuing certificates; developing a fee scale; maintaining the quality of interpreting services; developing interpreter guidelines; developing ethical rules; developing application fees and evaluation procedures; compiling a statewide registry of interpreters; developing a conversion system for accepting other certification systems; developing professional activities to maintain certification; investigating and implementing testing models; developing evaluation teams when necessary; and establishing grievance procedures.

Certification procedures have not been written, and as of June 30, 1996, no fees have been deposited in the established fund.

Chapter 209, RSMo, governs the Board for Certification of Interpreters.

State Committee of Marital and Family Therapists

The State Committee of Marital and Family Therapists, created in 1995, consists of four family and marital therapists and two voting public members, all of whom are appointed by the governor with the advice and consent of the Senate. The Division of Professional Registration would be responsible for setting the amount of the fees authorized, but the fees would not substantially exceed the cost and expense of administering the provisions of sections 337.700 to 337.739. The fees would be deposited into the Marital and Family Therapists Fund.

Prior to FY96, the Committee was non-existent. To date, the Committee has been appointed, but no revenues have been collected and the Division of Professional Registration is in the process of establishing rules, policies, and procedures related to marital and family therapists.

Chapter 337, RSMo, governs the State Committee of Marital and Family Therapists.

Sources of Information

Division of Professional Registration, Department of Economic Development
Official Manual, State Of Missouri, 1995-1996
Code of State Regulations
Missouri Revised Statutes

